

Defects in solids

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Outline

- lattice defects
- PAS experiment
- trapping model
- vacancies
- vacancy clusters
- dislocations



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Characterization of lattice defects in metallic materials by positron annihilation spectroscopy: A review



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ABSTRACT

Positron is an excellent probe of lattice defects in solids. A thermallized positron delocalized in lattice can be trapped at open volume defects, e.g. vacancies, dislocations, grain boundaries etc. Positron annihilation spectroscopy is a non-destructive technique which enables characterization of open volume lattice defects in solids on the atomic scale. Positron lifetime and Doppler broadening of annihilation photo-peak are the most common observables related to positron annihilation process. Positron lifetime spectroscopy enables to identify defects in solids and to determine their concentrations while coincidence measurement of Doppler broadening provides information about local chemical environment of defects. This article provides a review of the state-of-art of defect characterization in bulk metallic materials by positron annihilation spectroscopy. Advanced analysis of positron annihilation data and recent developments of positron annihilation methodology are described and discussed on examples of defect studies of metallic materials. Future development in the field is proposed as well.

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1. Introduction

Open volume defects of crystallite lattice, e.g. vacancies, dislocations, grain boundaries etc., have a strong influence on many physical properties of metallic materials. For example vacancies play the key role in diffusion processes [1] and phase transforma-

- (ii) The design of hardenable Al-Si-Mg based (6xxx series) alloys by micro-alloying with elements having a high binding energy with vacancies [8–10]. This way one can control natural ageing of Al-Si-Mg alloys and also the strengthening effect during subsequent artificial ageing.
- (iii) The enhancement of the equilibrium concentration of vacan-

- defect = any irregularity of crystalline lattice
- point defects (e.g. vacancies)







- defect = any irregularity of crystalline lattice
- line defects (e.g. dislocations)





- defect = any irregularity of crystalline lattice
- line defects (e.g. dislocations)



slip of a screw dislocation with a jogs

- defect = any irregularity of crystalline lattice
- areal defects (e.g. grain boundaries)





open volume misfit defects at grain boundaries



- defect = any irregularity of crystalline lattice
- volume defects (e.g. precipitates)





PAS techniques for defect studies

- positron lifetime (LT) spectroscopy
 - identification of type of defects
 - determination of defect concentrations
- coincidence Doppler broadening (CDB)
 - local chemical environment of defects
- variable energy positron annihilation spectroscopy (VEPAS)
 - defect depth profile
 - positron diffusion length

PAS techniques for defect studies

digital spectrometers

- real time sampling of detector pulses and analysis by dedicated software
- analysis can be repeated to find optimum strategy for determination of measured quantity
- perfect control over shape of sampled waveforms \rightarrow excellent clarity of spectrum



Digital positron lifetime spectrometer ²²Na source-sample sandwich Hamatsu H3378 Hamatsu H3378 0.7 0.6 passive passive BaF₂ BaF₂ Detector 1 Detector 2 splitter splitter Pb 0.3 0.4 shielding Fast amplifier Fast amplifier HP MSA-0204 HP MSA-0204 Inverting Inverting transformer transformer ORTEC IT 100 ORTEC IT 100 60 ns 60 ns CFDD CFDD passive **ORTEC 583 ORTEC 583** splitter Blocking Blocking out out Trig. Ext. level trigger Acqiris DC211 Acqiris DC211 Input Input

digitizer (slave)

digitizer (master)

Acqiris crate

to PC controller CC103 F. Bečvář et al., Nucl. Instr. Meth. A 539, 372 (2005)

- waveform single digitized detector pulse (300 points, 75 ns)
- normalized waveforms



F. Bečvář et al., Nucl. Instr. Meth. A 539, 372 (2005)

• γ -ray energy spectrum obtained by integration of waveforms



F. Bečvář et al., Nucl. Instr. Meth. A 539, 372 (2005)

• integral constant fraction timing iCF



F. Bečvář, Nucl. Instr. Meth. B 261, 871 (2007)

• integral constant fraction timing iCF



F. Bečvář, Nucl. Instr. Meth. B 261, 871 (2007)

• integral constant fraction timing iCF



- integral constant fraction timing iCF
- shape of pulses controlled by digital filters majorizing and minorizing function



- iCF fraction: 7 %
- determination of t_{CF}: parabolic interpolation

F. Bečvář, Nucl. Instr. Meth. B 261, 871 (2007)

• standard source (1.2 MBq), α -Fe reference specimen





• standard source (1.2 MBq), α -Fe reference specimen



• standard source (1.2 MBq), α -Fe reference specimen



• standard source (1.2 MBq), α -Fe reference specimen



- statistics: 8×10^6
- α -Fe: τ = (107.0 ± 0.3) ps

time resolution: 143 ps (FWHM)

 resolution function: two Gaussians

- standard source (1.2 MBq), α -Fe reference specimen
- START-STOP mode
- count rate: 940 s⁻¹
- accumulated coincidences: 200×10^6 (2.5 day)
 - waveforms outside energy windows: 156×10^6 (77.9 %)
 - waveforms rejected by digital filters: 34×10^6 (17.1 %)
 - waveforms rejected due to too noisy baseline: 1.6×10^6 (0.8 %)

accepted waveforms: 8.4×10^6 (4.2 %)

(effective coincidence count rate: 40 s⁻¹)

- standard source (1.2 MBq), α -Fe reference specimen
- energy windows: START: (1080-1550) keV, STOP (460-590)





detector 1: START detector 2: STOP

STOP-START mode:

detector 1: STOP detector 2: START

START-STOP mode: detector 1: START detector 2: STOP STOP-START mode: detector 1: STOP detector 2: START





F. Bečvář, Nucl. Instr. Meth. B 261, 871 (2007)



LT spectroscopy

- what is necessary to know for meaningful fitting of LT spectra
- time calibration F. Bečvář et al., Mater. Sci. Forum 363-365, 695 (2001)
- source contribution

measurement of well defined reference sample

• resolution function



intensity of source contribution



intensity of source contribution



- positron reflection on the interface between materials with various Z
- positron reflectance increases with Z
- This makes intensity of the source contribution increasing with Z of the sample



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- Intensity of the source contribution: $I_S = I_{S0} + I(Z)$

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Fitting of LT spectrum

• 100Cr6 roll bearing steel

•
$$\chi^2$$
 test
 $\chi^2 = \frac{\sum_{i=1}^m (y_i - h(x_i | \theta))^2}{h(x_i | \theta)}$

- + χ^2 per degree of freedom: χ^2 / ν
- expectation value: 1
- variance: $\sigma^2 = 2/v$
- number of degrees of freedom




Fitting of LT spectrum

• 100Cr6 roll bearing steel

residuals

$$r = \frac{\sum_{i=1}^{m} (y_i - h(x_i | \boldsymbol{\theta}))}{\sqrt{h(x_i | \boldsymbol{\theta})}}$$

- r has normal distribution
- expectation value: 0
- variance: $\sigma^2 = 1$



Fitting of LT spectrum

• two-component fit $\tau_1 = 20(5) \text{ ps}, \quad I_1 = 19(6)\%,$ $\tau_2 = 156(3) \text{ ps}, \quad I_2 = 81(5)\%$

• 100Cr6 roll bearing steel

residuals

$$r = \frac{\sum_{i=1}^{m} (y_i - h(x_i | \boldsymbol{\theta}))}{\sqrt{h(x_i | \boldsymbol{\theta})}}$$

- r has normal distribution
- expectation value: 0

• variance: $\sigma^2 = 1$



LT spectrum



decomposition of LT spectrum

lifetimes $\tau_i \rightarrow$ type of defects

intensities $I_i \rightarrow$ defect concentrations

LT spectroscopy

• fitting of LT spectrum

• lifetimes τ_i and intensities I_i of exponential components

- (comparison with *ab-initio* theoretical calculations) identification of defects
- application of positron trapping model > determination of defect concentrations









Simple trapping model (STM)

Nb with vacancies

• check whether STM assumptions are fulfilled

$$\begin{array}{|c|c|c|c|c|} \tau_1 = \frac{1}{\lambda_{\rm B} + K_{\rm 1v}} & I_1 = 1 - I_2 & \text{free positrons} \\ \tau_2 = \frac{1}{\lambda_{\rm 1v}} & I_2 = \frac{K_{\rm 1v}}{\lambda_{\rm B} + K_{\rm 1v} - \lambda_{\rm 1v}} & \text{positrons trapped} \\ \text{in vacancies} \end{array} \end{array}$$

for example: electron irradiated Nb

$$\tau_1 = 78(3) \text{ ps}, I_1 = 46(2) \%$$

$$\tau_{\rm f} = (I_1/\tau_1 + I_2/\tau_2)^{-1} = 124(4) \text{ ps}$$

 $\tau_2 = 217(5) \text{ ps}, I_2 = 64(2) \%$

vacancy concentration: c_{1V} = 32(5) ppm

• defect-free Nb reference: $\tau_B = 125(1) \text{ ps}$















- solid lines model curves calculated by VEPFIT
- two layered model: (i) surface oxide layer 15-20 nm (ii) bulk Fe-Al alloy



• positron diffusion length L_+

• perfect lattice:
$$L_{+,B} = \sqrt{D_+ \tau_B}$$

 D_+ - positron diffusion coefficient τ_B – bulk positron lifetime



- positron diffusion length L_+
- perfect lattice: $L_{+,B} = \sqrt{D_+ \tau_B}$
- D_+ positron diffusion coefficient τ_{B} – bulk positron lifetime

positron component

- presence of defects \rightarrow shortening of L_+ $L_+ = \sqrt{D_+ \tau_1}$ τ_l lifetime of the free
- simple trapping model: $\tau_1 = \frac{1}{\frac{1}{\tau_B} + K}$

• positron trapping rate to defects:
$$K = \frac{1}{\tau_B} \left(\frac{L_{+,B}^2}{L_{+}^2} - 1 \right)$$

• net concentration of defects:

$$c = \frac{K}{\nu} = \frac{1}{\nu \tau_B} \left(\frac{L_{+,B}^2}{L_+^2} - 1 \right)$$

1-2

positron lifetime measurement

$$c = \frac{1}{\nu} \frac{I_2}{I_1} \left(\frac{1}{\tau_B} - \frac{1}{\tau_2} \right) = \frac{1}{\nu} I_2 \left(\frac{1}{\tau_1} - \frac{1}{\tau_2} \right)$$

• It is hard to resolve free positron component when $I_1 < 5\%$ (saturated trapping)

• in FeAl alloys it corresponds $c > 2 \times 10^{-4} = 200 \text{ ppm}$

positron back-diffusion measurement

$$c = \frac{K}{\nu} = \frac{1}{\nu \tau_B} \left(\frac{L_{+,B}^2}{L_+^2} - 1 \right)$$

- It is hard to determine positron diffusion length when $L_+ < 1 \text{ nm}$
- in FeAl alloys it corresponds $c > 1 \times 10^{-3} = 10$ at.% = 10⁵ ppm
- positron back-diffusion measurement can be used for determination of defect concentration when LT spectroscopy cannot be used because of saturated trapping

Concentration of quenched-in vacancies

Fe-Al alloys quenched from 1000°C

- LT spectroscopy and VEPAS gave mutually consistent results
- Fe₇₅Al₂₅ alloy: LT spectroscopy: $c_V = (7.0 \pm 0.5) \times 10^{-5}$ VEPAS (e⁺ back-diffusion): $c_V = (5 \pm 1) \times 10^{-5}$



Concentration of quenched-in vacancies

Fe-Al alloys quenched from 1000°C





• calculated specific positron trapping rates: comparison with experiment

element	calculated (LDA) $v (10^{14})$ at.s ⁻¹	experimental $v (10^{14}) \text{ at.s}^{-1}$	method	Reference
Al	3.139	2.5 ± 1.5 2.5 ± 1.0	thermal vac. thermal vac.	 E. Gramsch, K.G. Lynn , <i>Phys. Rev. B</i> 40 (1989) 2537 J. A. Jackman, G. M. Hood and R. J. Schultz, <i>J. Phys. F</i> 17 (1987) 1817
		3 ± 2	thermal vac.	T.M. Hall, A.N. Goland, C.N. Snead Jr., Phys. Rev. B 10 (1974) 3062.
Fe	6.779	11±2	e ⁻ irrad.	A. Vehanen, P. Hautojärvi, J. Johansson, J. Yli-Kauppila, P. Moser, <i>Phys. Rev. B</i> 25 (1982) 762
Cu	3.817	1.4 ± 0.2	$\Delta l/l - \Delta a/a$	JE. Kluin, Th. Hehenkamp, Phys. Rev. B 44 (1991) 11597
Ag	2.215	2.3 ± 0.2	$\Delta l/l - \Delta a/a$	J. Wolff, JE. Kluin, Th. Hehenkamp, Mater. Sci. Forum 105-110 (1992) 1329
Au	2.496	3.5 ± 1.0 2.9 ± 0.8	thermal vac. thermal vac.	T.M. Hall, A.N. Goland, C.N. Snead Jr., <i>Phys. Rev. B</i> 10 (1974) 3062 T.M. Hall, A.N. Goland, K.C. Jain, R.W. Siegel, <i>Phys. Rev. B</i> 12 (1975) 1613

Thermal vacancies

$$c_V = \exp\left(\frac{S_f}{k}\right) \exp\left(-\frac{H_f}{kT}\right)$$

- H_{f} vacancy formation enthalpy
- S_f vacancy formation entropy $S_f = (2-3) k$
- k Boltzmann constant

• calculated specific positron trapping rates: comparison with experiment

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• simultaneous measurement of length change $\Delta l / l$ (differential dilatometry) and change of the lattice parameter $\Delta a / a$ (X-ray diffraction)

$$c_{v} = 3\left(\frac{\Delta l}{l} - \frac{\Delta a}{a}\right)$$

• calculated specific positron trapping rates: comparison with experiment

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• specific trapping rate for vacancies in metals: $v_V = 10^{14} - 10^{15}$ at.s⁻¹

vacancy clusters

- vacancy clusters
- ab-inito calculations of positron lifetimes



J. Čížek et al., J. Phys, Conf. Ser. 443, 012008 (2013)

vacancy clusters

- specific positron trapping rates for vacancy clusters
- small clusters ($N \le 10$): $v_N \sim N$
- larger clusters (N > 10): v_N gradually saturates



Dislocations

- dislocation line is a shallow positron trap
- weak positron localization at dislocation \rightarrow diffusion along dislocation line
- final trapping at vacancy bound to dislocation or open volume at jog

edge dislocation

screw dislocation





Positron trapping at dislocations

- two-step positron trapping at dislocation
 - $K_v \ll K_{dl}$ (vacancy is a point defect but dislocation is a line defect) $\delta_{dl} \ll K_{dv}$
 - (there is always enough vacancies attached to dislocation)



Positron trapping at dislocations

- two-state positron trapping at dislocation
 - $K_v \ll K_{dl}$ (vacancy is a point defect but dislocation is a line defect) $\delta_{dl} \ll K_{dv}$
 - (there is always enough vacancies attached to dislocation)



Positron trapping at dislocations in Fe (or steels)

- open volumes attached to edge dislocations are larger Y.K. Park et al., PRB 34, 823 (1986)
- screw and edge dislocations can be distinguished



screw dislocation τ_{screw} = 142 ps



Positron trapping at dislocations – distribution of dislocations

- uniform distribution of dislocations \rightarrow simple trapping model $\rho = \frac{1}{v} \frac{I_2}{I_1} \left(\frac{1}{\tau_B} \frac{1}{\tau_D} \right)$ (hcp metals, metals with low SFE)
- dislocation cell structure \rightarrow diffusion trapping model (cubic metals with medium and high SFE)

specific positron trapping rate to dislocations



HPT-deformed Mg-10wt.%Gd alloy



HPT-deformed IF steel

Positron trapping at dislocations – dislocation cell structure

50

- dislocation cell structure
- dislocation-free cell interiors
- distorted regions with high density of dislocations (dislocation walls)

2R

J. Čížek et al. Phys. Rev. B 65, 094106 (2002)

Positron trapping at dislocations – dislocation cell structure

- dislocation cell structure
- dislocation-free cell interiors
- distorted regions with high density of dislocations (dislocation walls)

J. Čížek et al. Phys. Rev. B 65, 094106 (2002)

 δ

Diffusion trapping model (DTM)

- dislocation-free spherical with radius R
- surrounded by dislocation walls with thickness δ

thermalization

1. e^+ stopped in dislocation walls \longrightarrow trapping at dislocations



A. Dupasquier et al. PRB 48, 9235 (1993)

J. Čížek et al. PRB 65, 094106 (2002)
- dislocation-free spherical with radius R
- surrounded by dislocation walls with thickness δ

thermalization

1. e⁺ stopped in dislocation walls — → trapping at dislocations



2. e⁺ stopped inside cells

A. Dupasquier et al. PRB 48, 9235 (1993)

J. Čížek et al. PRB 65, 094106 (2002)

- dislocation-free spherical with radius R
- surrounded by dislocation walls with thickness δ

thermalization

1. e⁺ stopped in dislocation walls — → trapping at dislocations



annihilation in delocalized state

2. e⁺ stopped inside cells

A. Dupasquier et al. PRB 48, 9235 (1993)

J. Čížek et al. PRB 65, 094106 (2002)



- dislocation-free spherical with radius R
- surrounded by dislocation walls with thickness δ
- positron lifetime spectrum

$$S(t) = \sum_{k}^{\infty} t_{k}^{-1} i_{k} e^{-t/t_{k}} + \tau_{d}^{-1} I_{d} e^{-t/\tau_{d}}$$

$$[\tau_d]$$
 – lifetime of positrons trapped at dislocations
 $I_d = 1 - \sum_{k=1}^{\infty} i_k$ – intensity of dislocation component



- infinite number of free positron components

$$i_{k} = 3(1-\eta)\frac{\nu\rho\delta}{\eta R}\alpha_{k}\left(\frac{1}{t_{k}^{-1}-\tau_{B}^{-1}}-\frac{1}{t_{k}^{-1}-\tau_{d}^{-1}}\right)$$

A. Dupasquier et al. PRB 48, 9235 (1993)

J. Čížek et al. PRB **65**, 094106 (2002)

$$\beta_k \cot \beta_k + \xi - 1 = 0$$

$$\alpha_k = \frac{2\xi}{\beta_k^2 + \xi(\xi - 1)} \qquad \xi = \frac{\nu R \rho \delta}{\eta D_+}$$

- direct fitting of positron lifetime spectra by DTM
- from fitting we obtain the following structural parameters:
- size of cells 2R
- mean dislocation density ho
- volume fraction of distorted regions η
- lifetime of positrons trapped at dislocations τ_d
- fraction of screw dislocations f_{screw}



- direct fitting of positron lifetime spectra by DTM
- fixed parameters
- width of distorted regions $\delta = 10 \text{ nm}$
- specific positron trapping rate to dislocations $v = 0.36 \times 10^{-4} \text{ m}^2\text{s}^{-1}$
- J. Čížek et al., Phys. Stat. Sol. A **178**, 651 (2000)
- bulk positron lifetime for Fe τ_B = 108 ps
- F. Bečvář et al., Appl. Surf. Sci. 255, 111 (2008)
- positron diffusion coefficient for Fe D₊ = 1.87 cm² s⁻¹
 F. Lukáč et al., J. Phys. Conf. Ser. 443, 012025 (2013)

HPT-deformed IF steel



HPT-deformed steel

- good agreement of PAS and (XLPA = X-ray line profile analysis)
- dislocation density increases with deformation and saturates at strain $e \ge 3$
- edge character of dislocations prevails





J. Čížek et al. Acta Mater. 107, 83 (2016)

PAS XLPA

HPT-deformed steel

- good agreement of PAS and (XLPA = X-ray line profile analysis)
- dislocation density increases with deformation and saturates at strain $e \ge 3$
- edge character of dislocations prevails
- cell size saturates at e > 10

PAS

XLPA



Dislocations – determination of dislocation density



