

# Characterization of defects in ultrafine-grained interstitial-free steel prepared by severe plastic deformation



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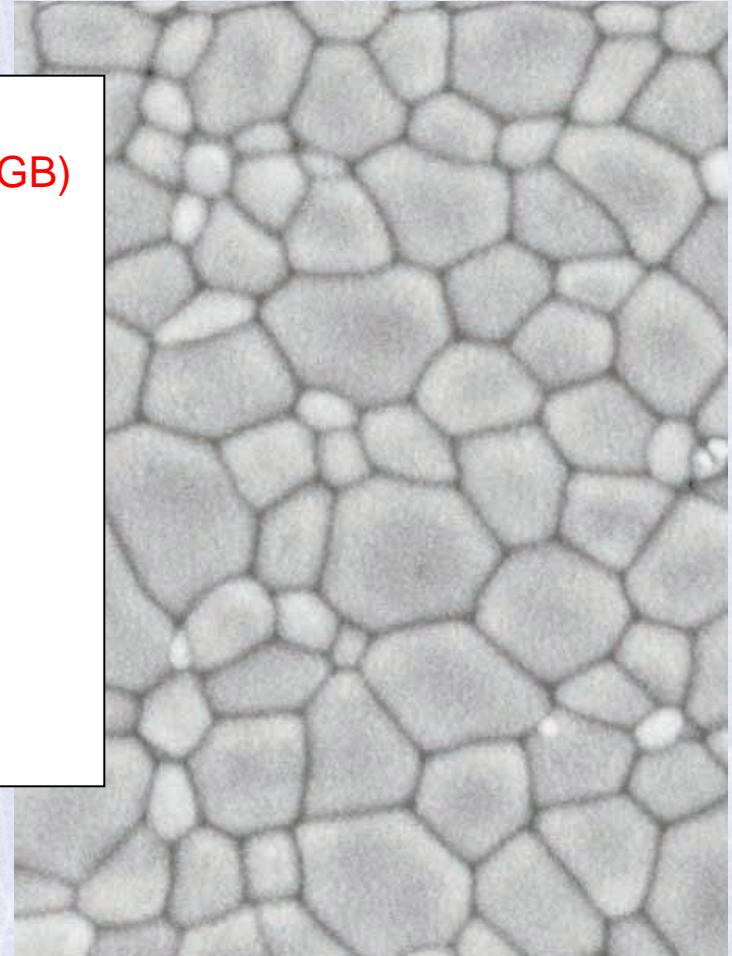
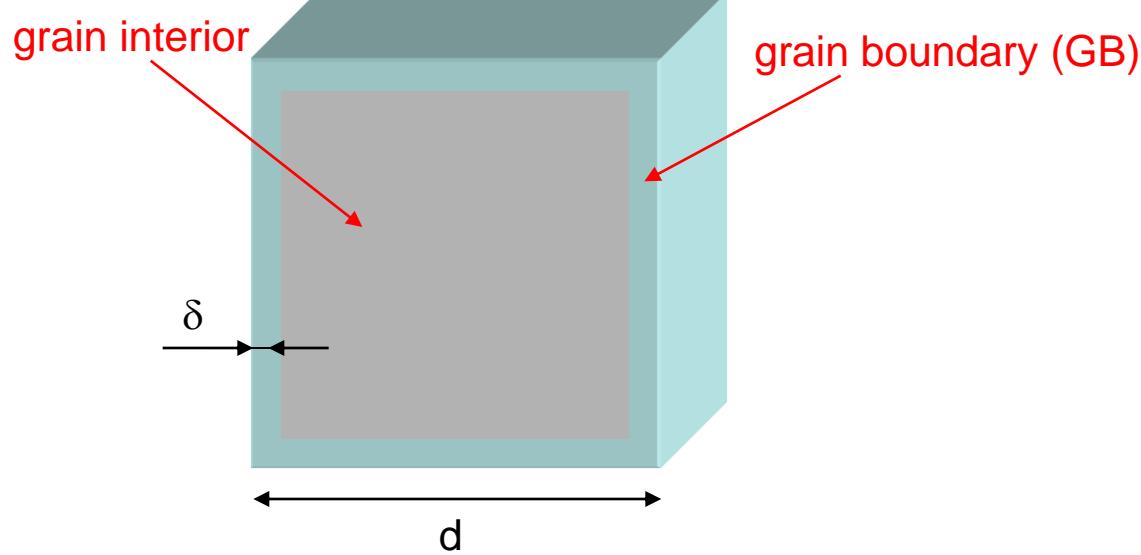


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# Ultra fine grained (UFG) materials

polycrystalline material

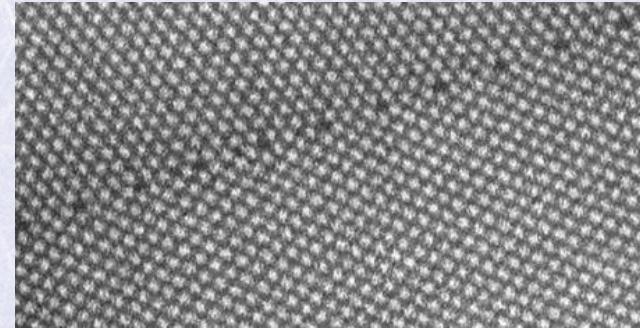
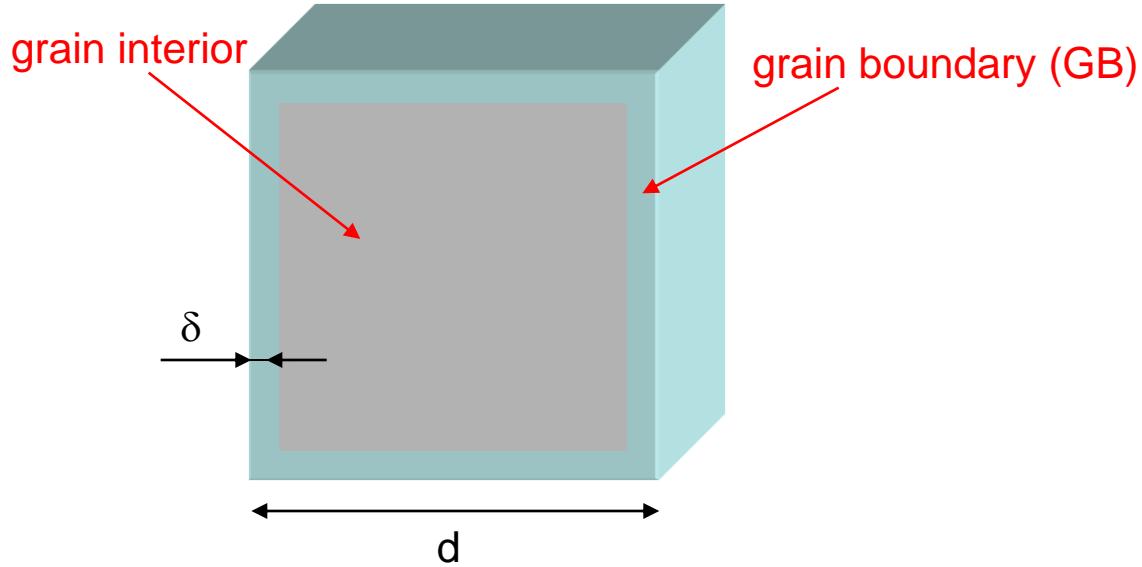


volume fraction of GB's

$$f_{GB} = 1 - \left( \frac{d - \delta}{d} \right)^3$$

# Ultra fine grained (UFG) materials

polycrystalline material



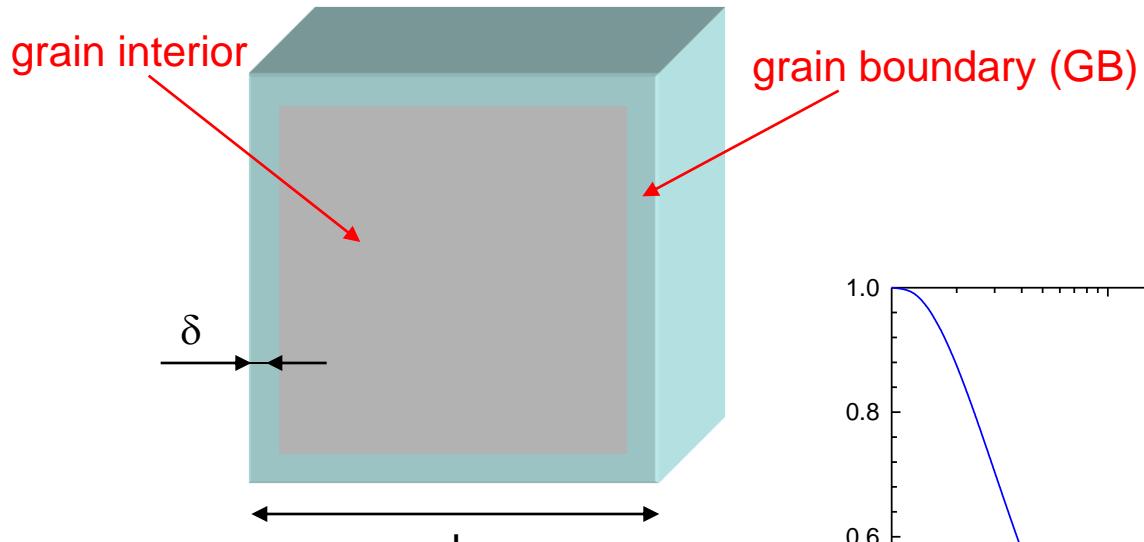
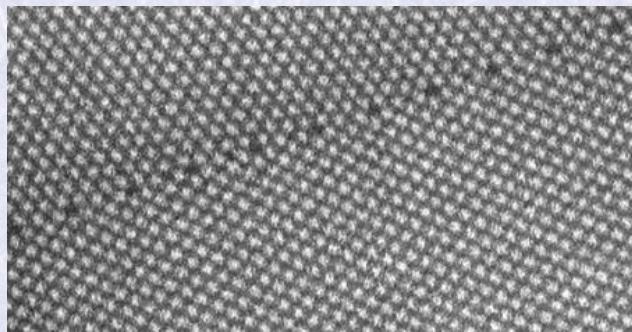
crystallographic width  
of GB's:  $\delta \approx 1 \text{ nm}$

volume fraction of GB's

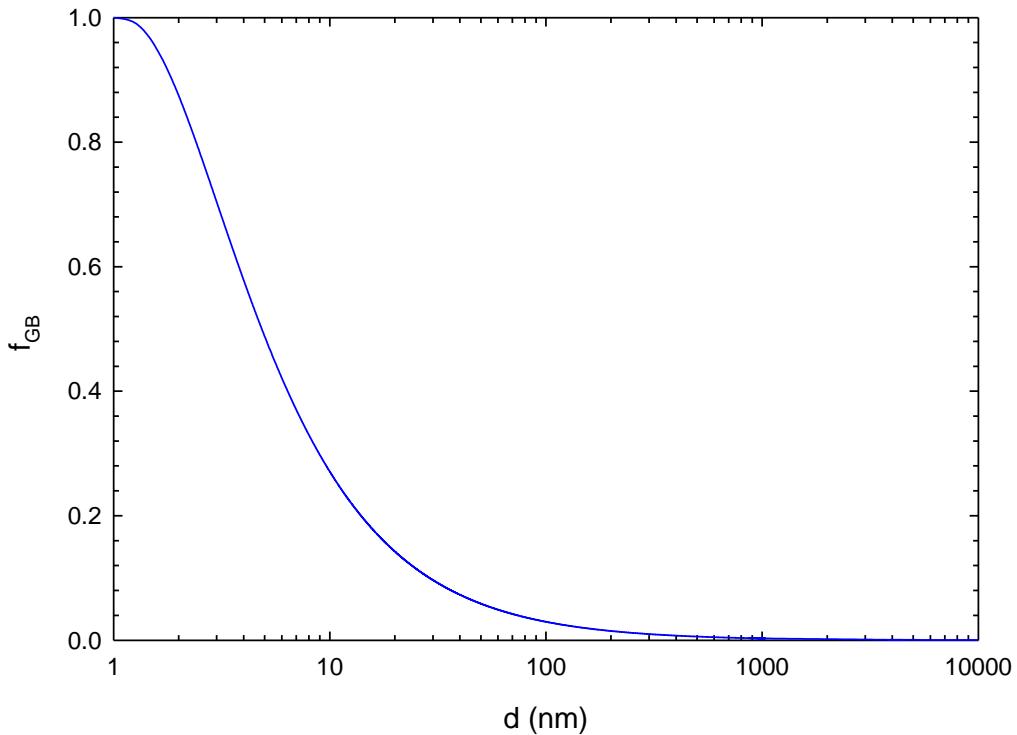
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# Ultra fine grained (UFG) materials

polycrystalline material



crystallographic width  
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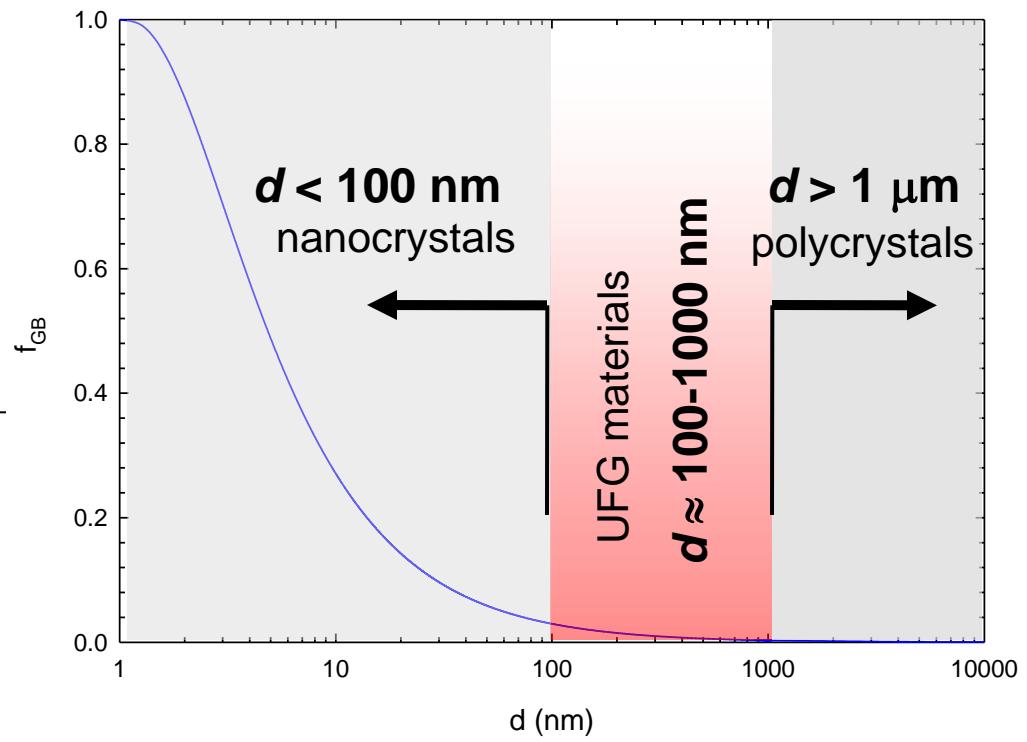
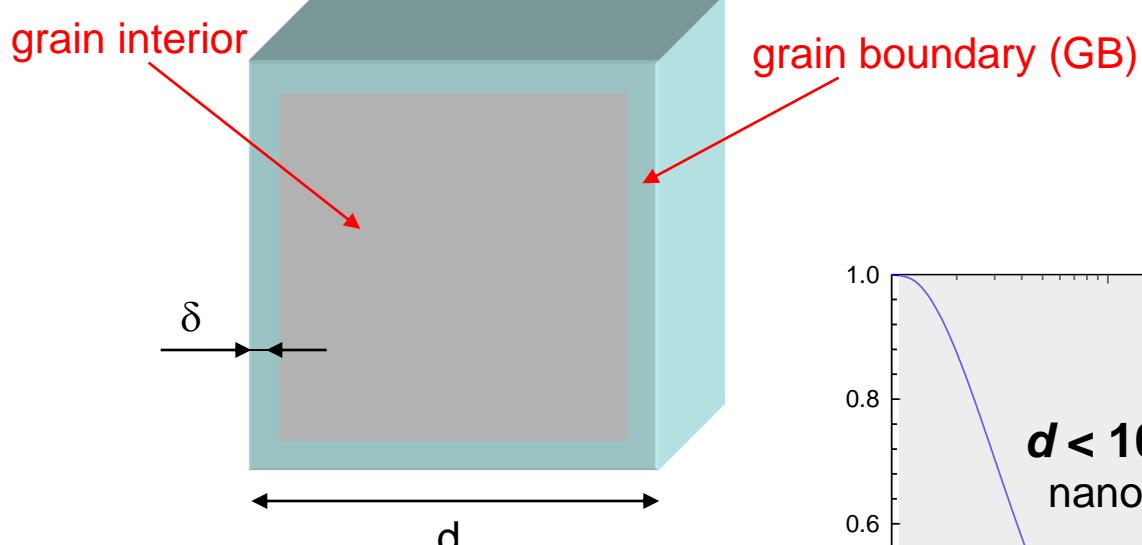


volume fraction of GB's

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# Ultra fine grained (UFG) materials

polycrystalline material

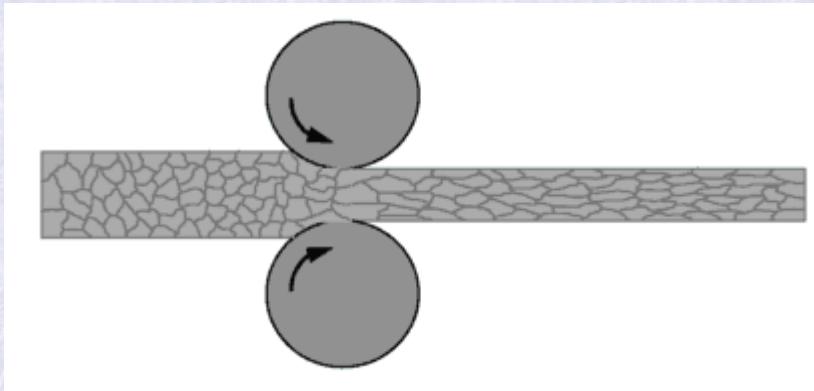


volume fraction of GB's

$$f_{GB} = 1 - \left( \frac{d - \delta}{d} \right)^3$$

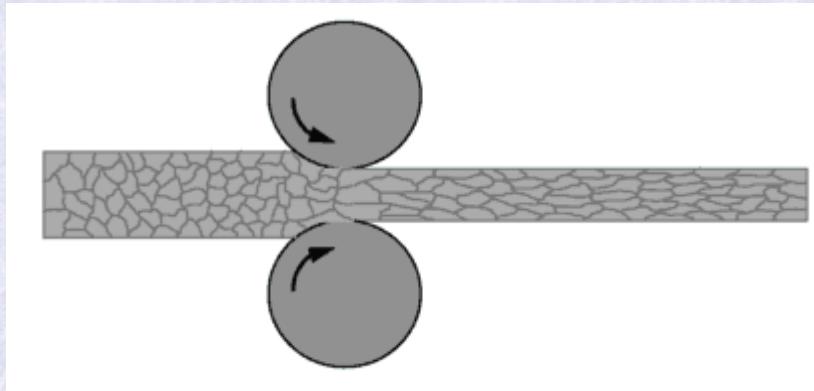
## Severe plastic deformation

- plastic deformation → grain refinement
- conventional plastic deformation



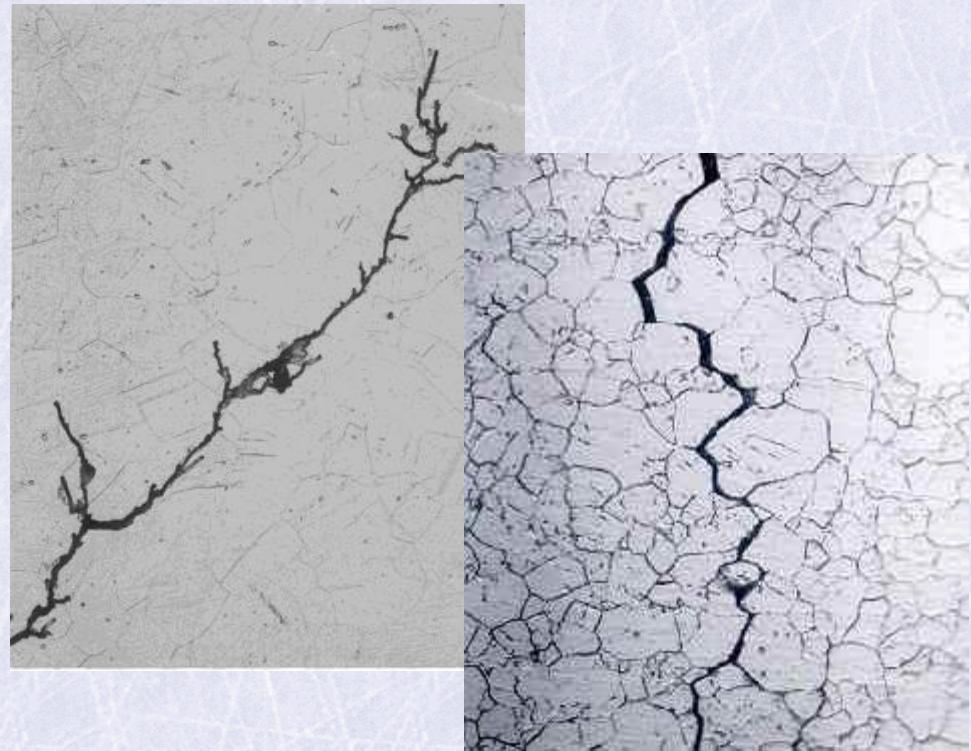
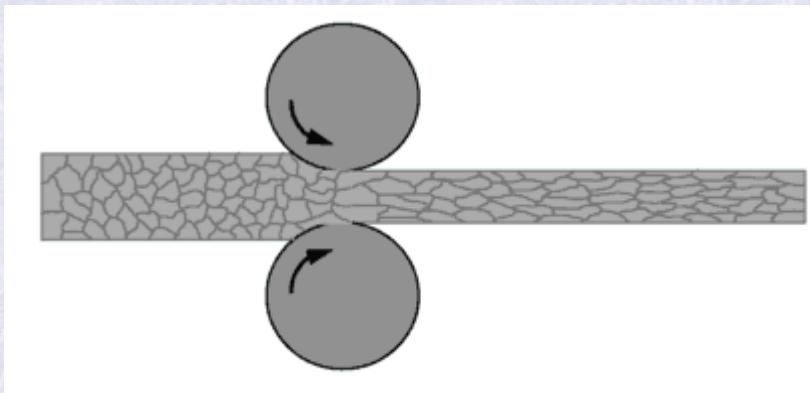
## Severe plastic deformation

- plastic deformation → grain refinement
- conventional plastic deformation → formation of cracks



## Severe plastic deformation

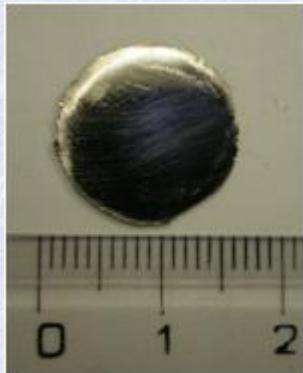
- plastic deformation → grain refinement
- conventional plastic deformation → formation of cracks → material failure



- deformation under **high pressure** → crack formation suppressed

## High pressure torsion (HPT)

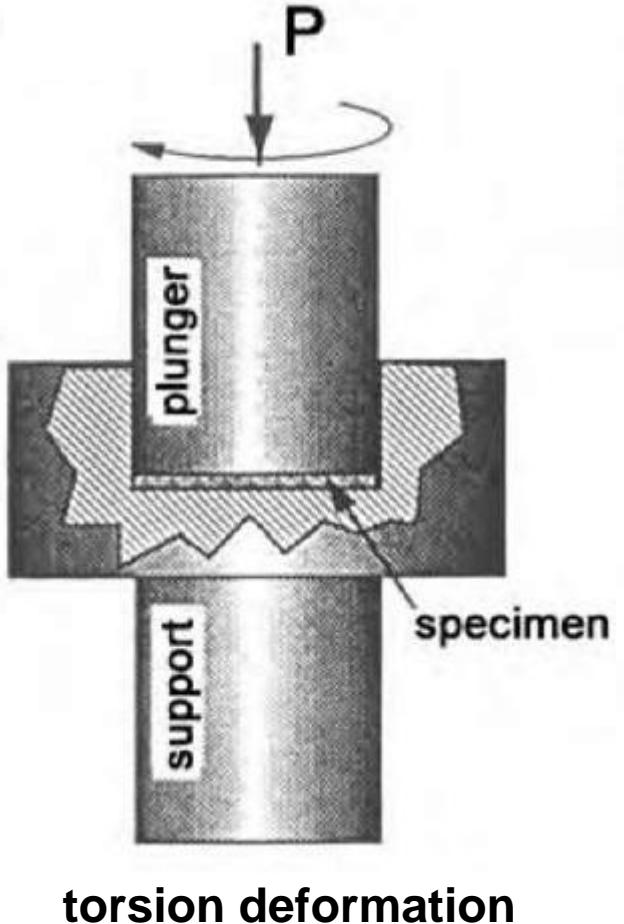
- the strongest grain refinement
- grain size  $\approx 100$  nm
- disk shaped samples  
diameter 10 – 20 mm, thickness 0.3 - 0.5 mm



*UFG IF steel sample  
prepared by HPT*

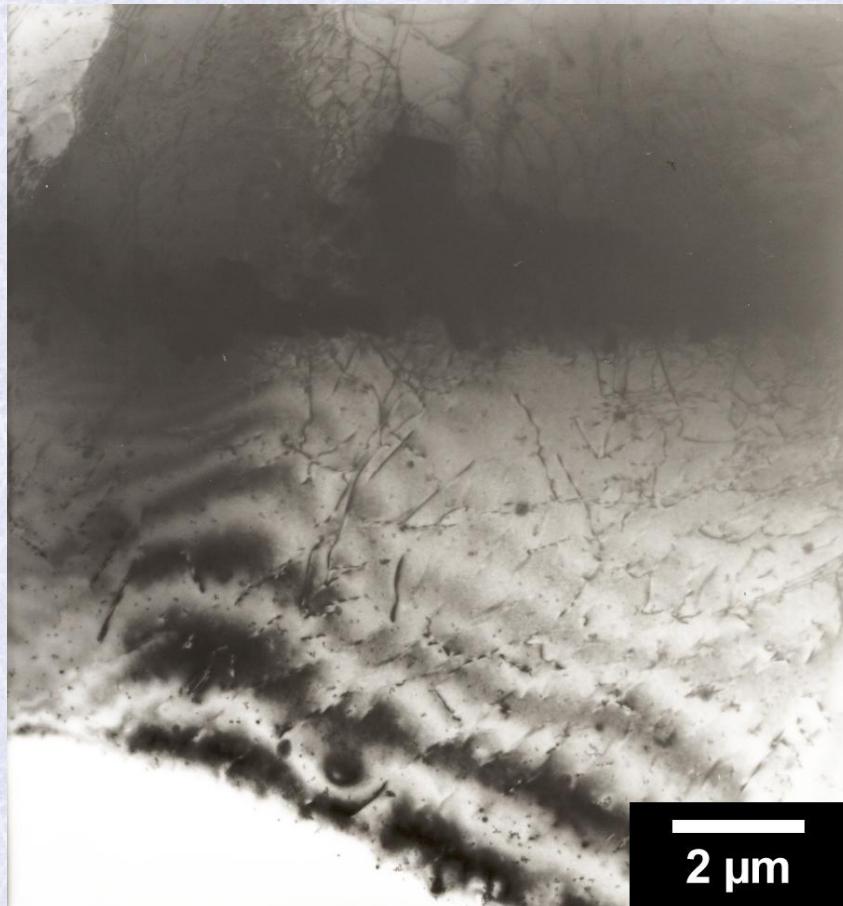
- dislocation density is an important parameter of UFG materials

**high pressure 1 - 10 GPa**



## Methods for determination of dislocation density in UFG materials

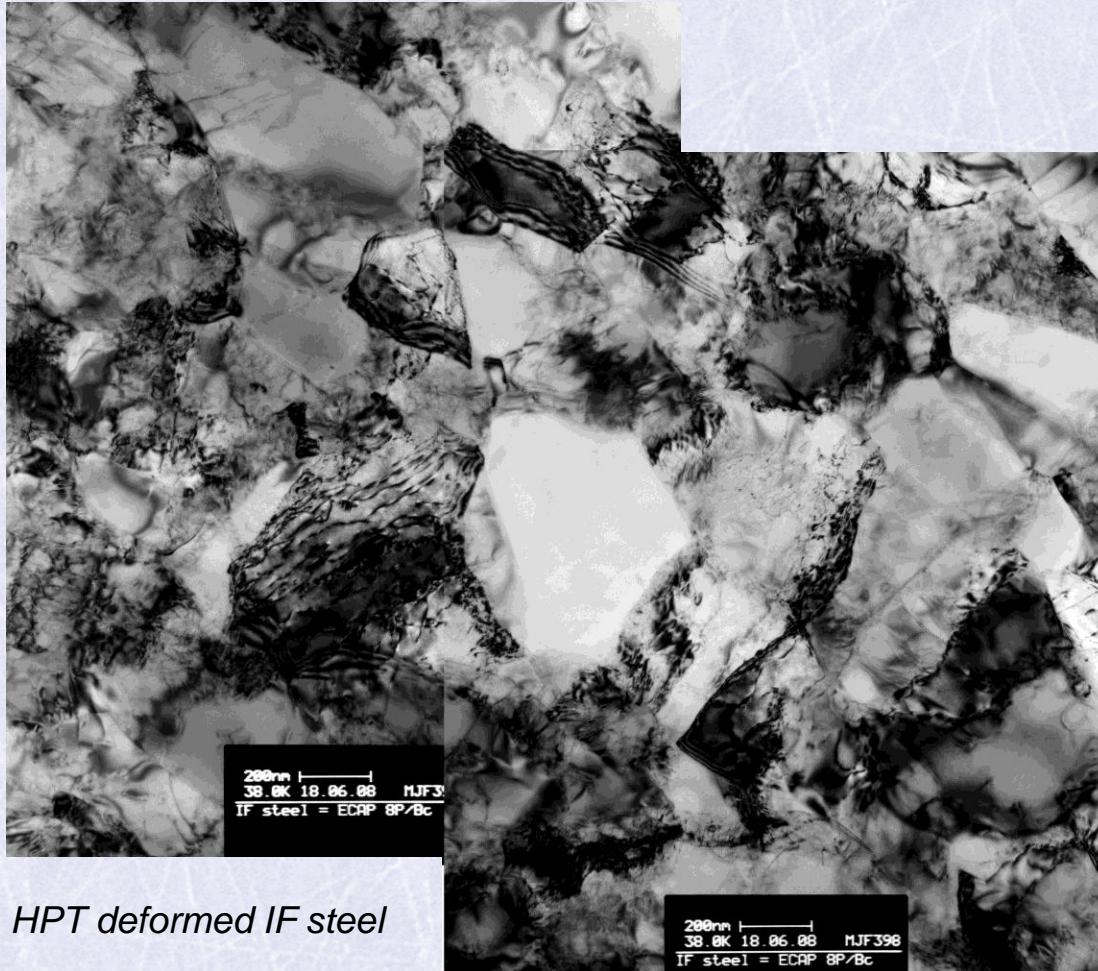
- TEM
  - direct observation of dislocations



# Methods for determination of dislocation density in UFG materials

- TEM

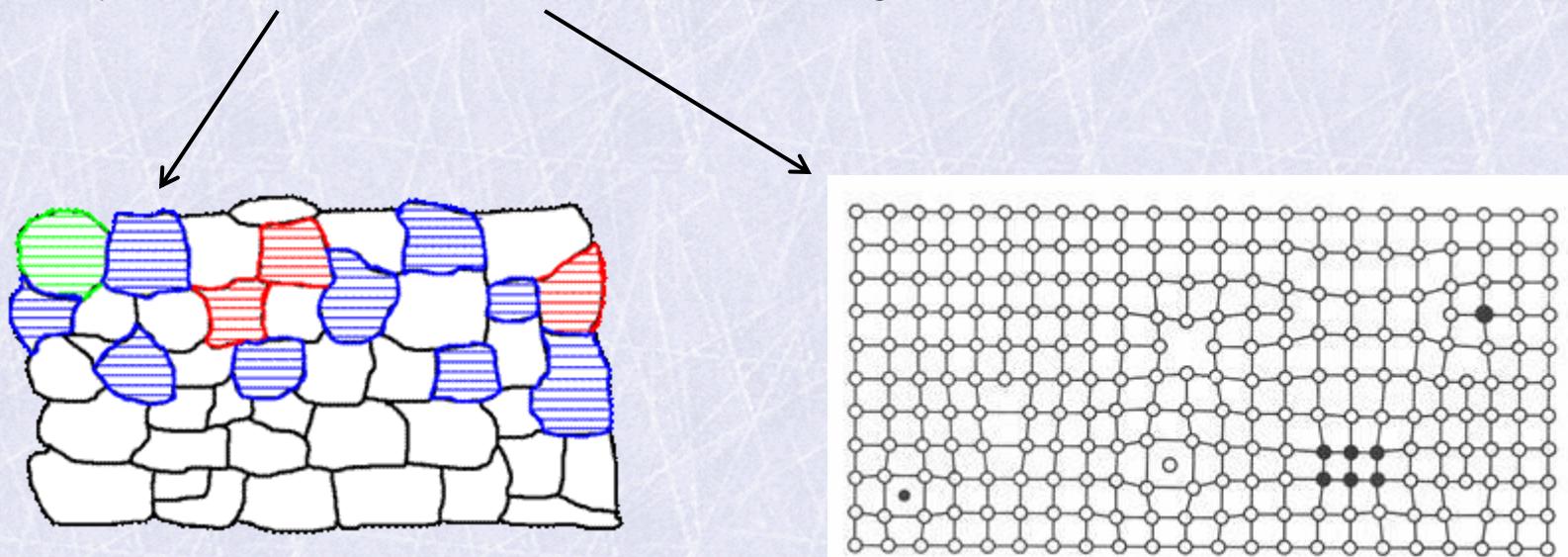
- direct observation of dislocations
- hard to resolve individual dislocations due to high dislocation density



# Methods for determination of dislocation density in UFG materials

- X-ray diffraction (XRD)

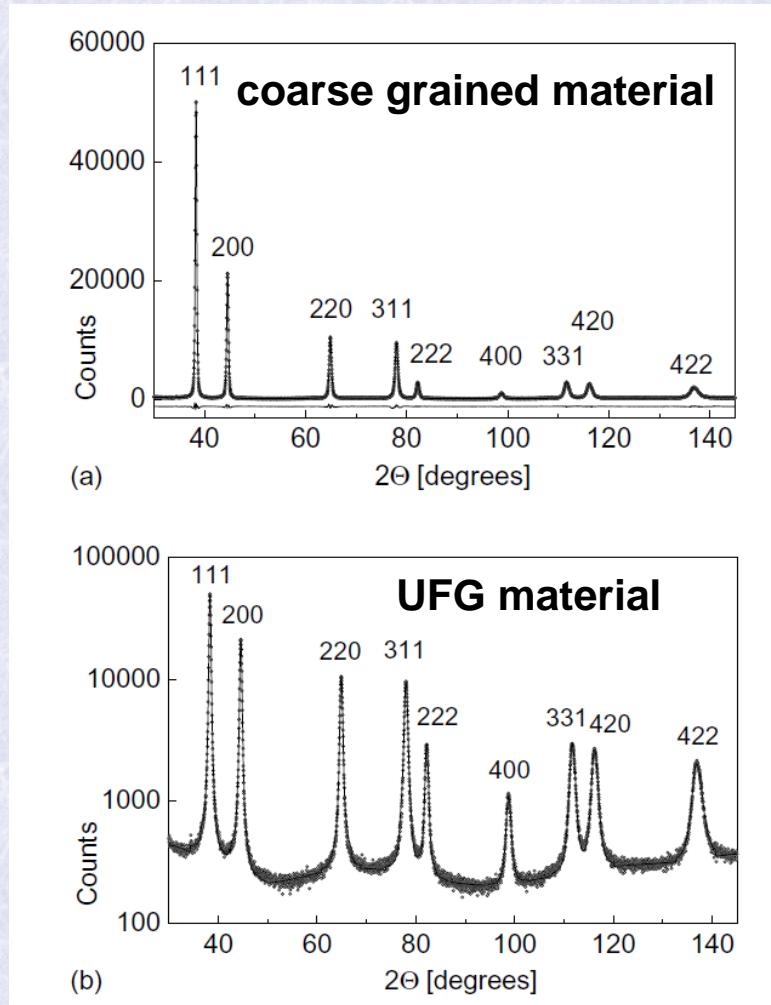
- broadening of XRD profiles
- crystallite size & microstrain broadening



# Methods for determination of dislocation density in UFG materials

- X-ray diffraction (XRD)

- broadening of XRD profiles
- crystallite size & microstrain broadening
- different dependence on scattering angle



G. Ribárik et al. Mater. Sci. Eng. A 387-389, 343 (2004)

## XRD line profile analysis

- **Williamson-Hall (W-H) method**

*G.K. Williamson, W.H. Hall , Acta Metall. 1, 22 (1953)*

- size broadening → independent on  $\theta$
- strain broadening → proportional to  $\sin \theta$

# XRD line profile analysis

- Williamson-Hall (W-H) method

G.K. Williamson, W.H. Hall , Acta Metall. 1, 22 (1953)

- $hkl$  peak width:  $\Delta K = 2\Delta\theta_{hkl} \cos \theta_{hkl} / \lambda$

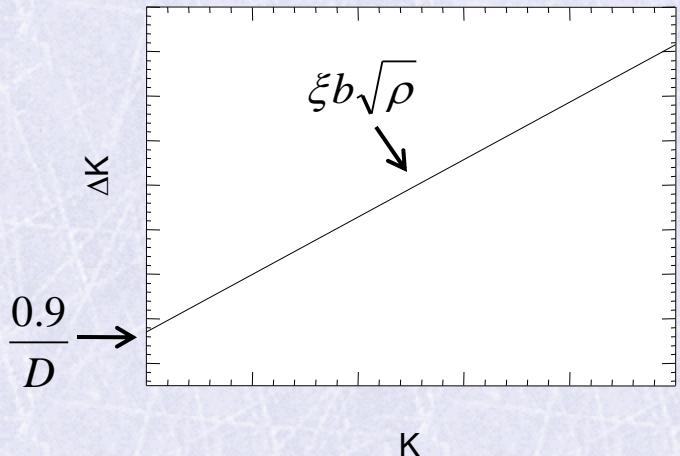
- magnitude of diffraction vector:  $K = 2 \sin \theta_{hkl} / \lambda$

$$\Delta K = \frac{0.9}{D} + \xi b \sqrt{\rho} K$$

Diagram illustrating the components of the peak width  $\Delta K$ :

- Crystallite size:** Represented by a blue circle, labeled  $\frac{0.9}{D}$ .
- Burgers vector:** Represented by a red circle, labeled  $\xi b \sqrt{\rho} K$ .
- dislocation density:** Labeled near the red circle.
- strain broadening:** Labeled in red text below the red circle.
- size broadening:** Labeled in blue text below the blue circle.

W-H plot



# XRD line profile analysis

- Williamson-Hall (W-H) method

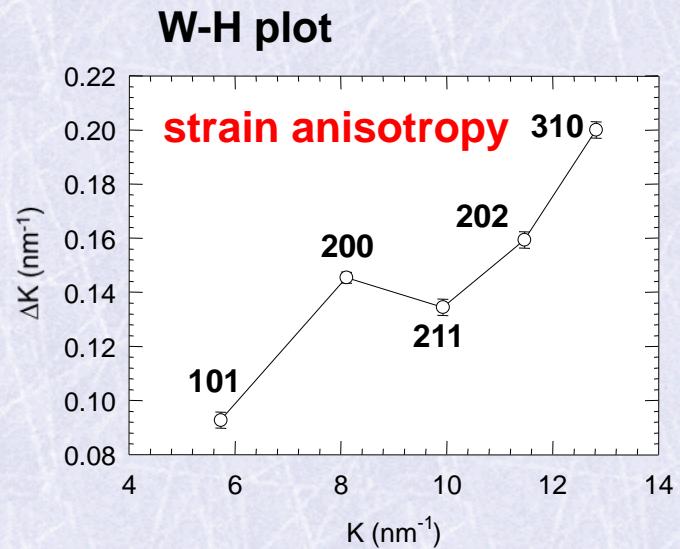
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- $hkl$  peak width:  $\Delta K = 2\Delta\theta_{hkl} \cos \theta_{hkl} / \lambda$

- magnitude of diffraction vector:  $K = 2 \sin \theta_{hkl} / \lambda$

$$\Delta K = \frac{0.9}{D} + \xi b \sqrt{\rho} K$$

crystallite size      Burgers vector      dislocation density  
size broadening      strain broadening



# Methods for determination of dislocation density in UFG materials

- modified Williamson-Hall (W-H) method

T. Ungár, A. Borbély, *Appl. Phys. Lett.* 69, 3173, (1996)

-  $hkl$  peak width:  $\Delta K = 2\Delta\theta_{hkl} \cos\theta_{hkl} / \lambda$

- magnitude of diffraction vector:  $K = 2\sin\theta_{hkl} / \lambda$

$$\Delta K = \frac{0.9}{D} + bM \sqrt{\frac{\pi}{2}} \rho (K\bar{C}^{1/2})$$

dislocation distribution parameter

dislocation contrast factor

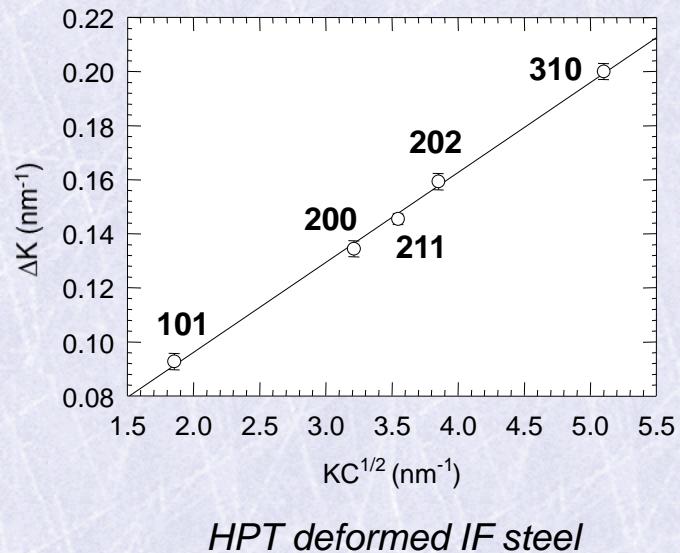
$$\bar{C} = \bar{C}_{h00} (1 - qH^2)$$

$$H^2 = \frac{h^2 l^2 + h^2 k^2 + l^2 k^2}{(h^2 + k^2 + l^2)^2}$$

dislocation contrast factor for  $\{h00\}$  reflections

for common slip systems in fcc and bcc structures calculated in T. Ungár et al. *J. Appl. Cryst.* 32, 992 (1999)

Modified W-H plot



HPT deformed IF steel

fraction of screw dislocations:

$$f_{\text{screw}} = \frac{q - q_{\text{edge}}}{q_{\text{screw}} - q_{\text{edge}}}$$

edge / screw character of dislocations

## XRD line profile analysis

- modified Williamson-Hall (W-H) method

linearization of relation

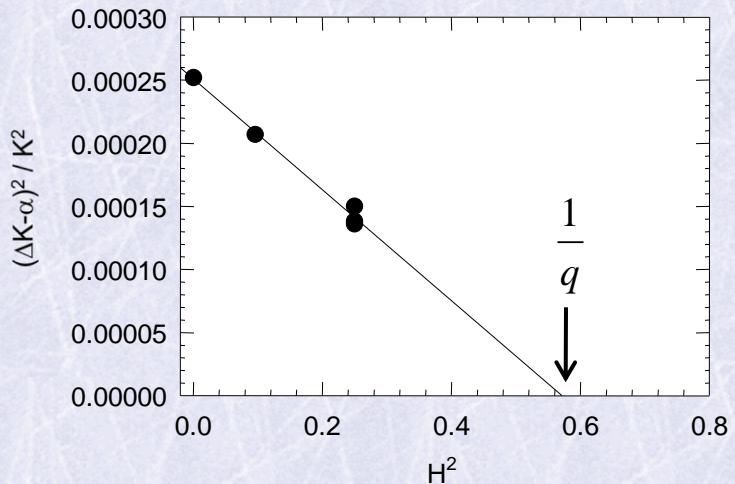
$$\frac{(\Delta K - \alpha)^2}{K^2} = \beta^2 \bar{C}_{h00} (1 - qH^2)$$

gives parameters:

$$\alpha = \frac{0.9}{D} \quad (\text{crystallite size})$$

$$\beta = bM \sqrt{\frac{\pi}{2}} \rho \quad (\text{dislocation density})$$

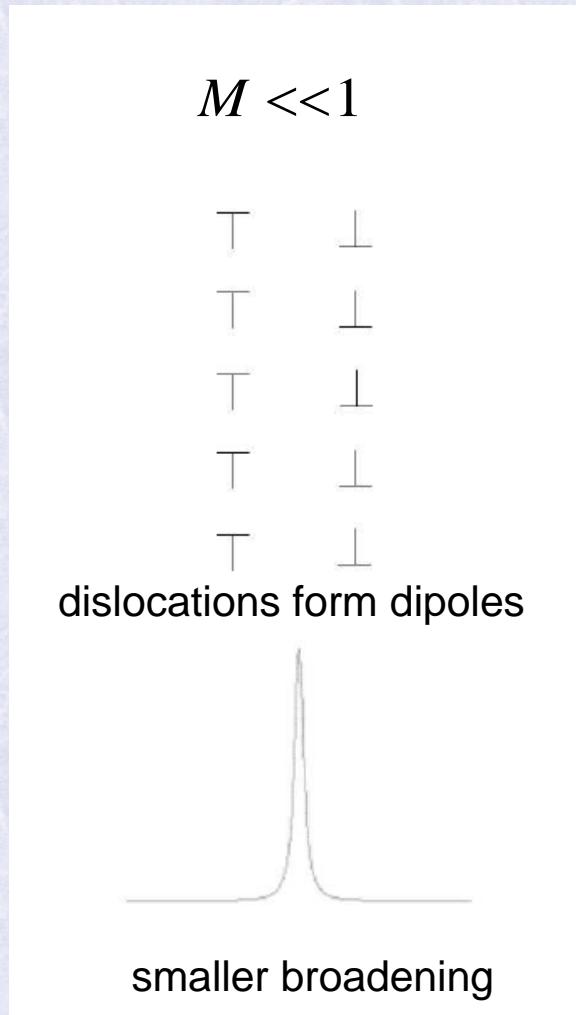
$q$  (screw / edge character of dislocations)



HPT deformed IF steel

# XRD line profile analysis

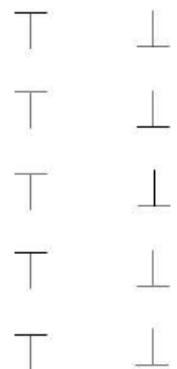
dislocation distribution parameter  $M$



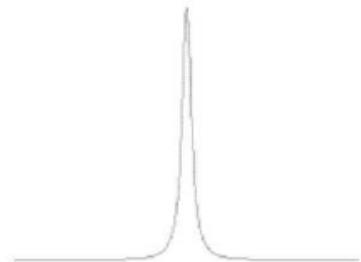
# XRD line profile analysis

dislocation distribution parameter  $M$

$$M \ll 1$$

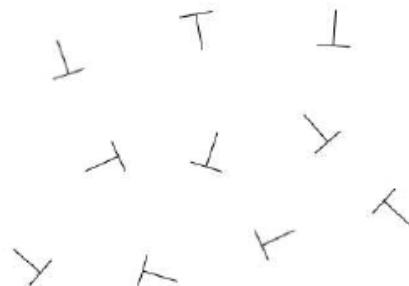


dislocations form dipoles

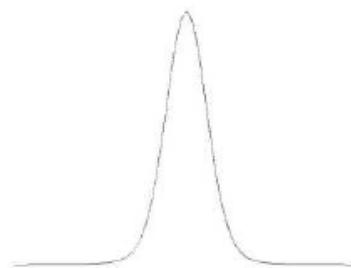


smaller broadening

$$M \gg 1$$



random orientation



larger broadening

# XRD line profile analysis

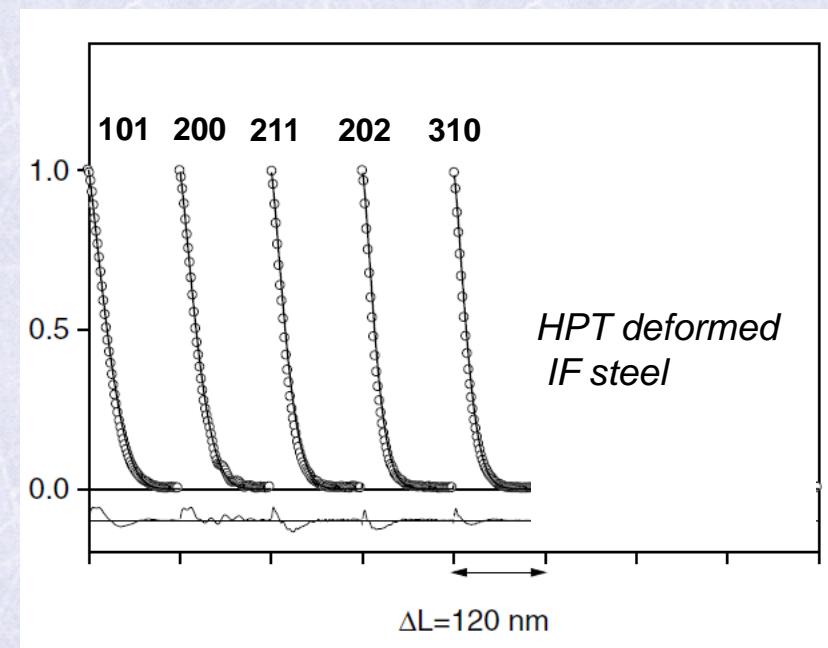
- modified Warren-Averbach (MWA) method

T. Ungár, A. Borbély, Appl. Phys. Lett. 69, 3173, (1996)

Fourier transform of XRD profiles

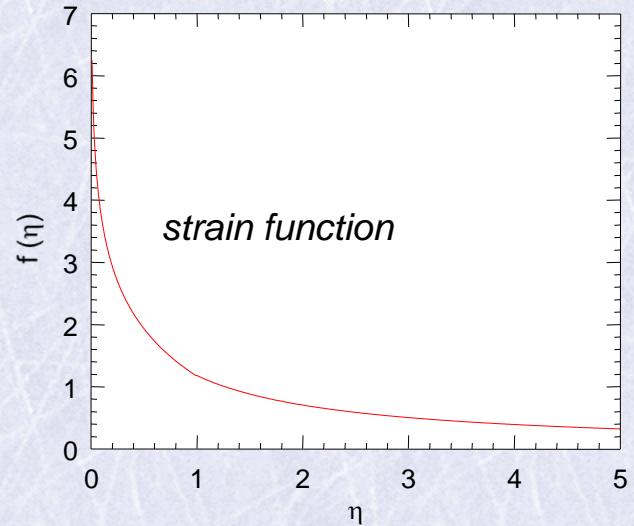
$$A(L) = A_S(L)A_D(L)$$

↗      ↗  
size coefficient   distortion coefficient



$$A_D(L) = \exp\left(-\frac{\pi}{2} b^2 K^2 \bar{C} \rho L^2 f(\eta)\right)$$
$$\eta = \frac{1}{2} \exp\left(\frac{7}{4}\right) \frac{L}{M} \sqrt{\rho}$$

↑  
strain (Wilkens) function  
describes  
dislocation-dislocation  
correlation



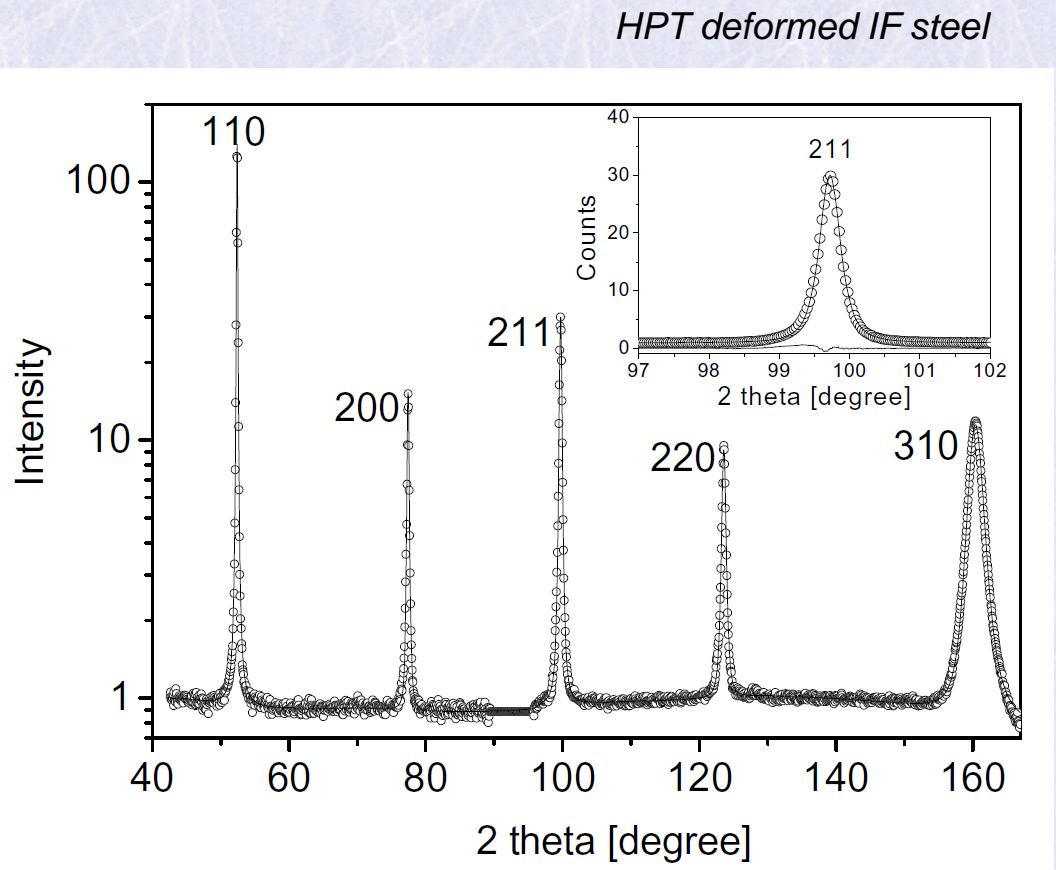
# XRD line profile analysis

- whole profile fitting

G. Ribárik, T. Ungár, J. Gubicza, J. Appl. Cryst. 34, 669, (2001)

by self consistent MWH + MWA approach one gets:

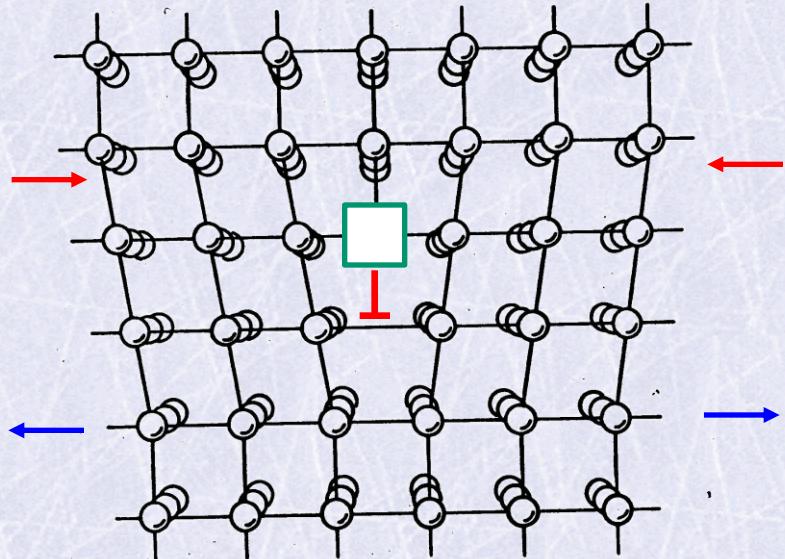
1. mean crystallite size  $D$
2. mean dislocation density  $\rho$
3. screw / edge character of dislocations  $q$
4. dislocation distribution parameter  $M$



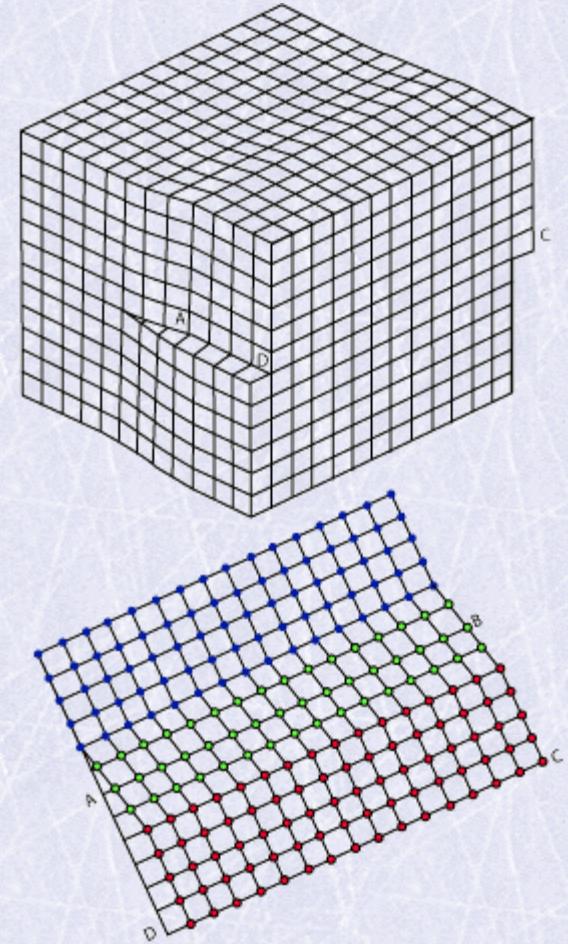
# Positron trapping at dislocations

- dislocation line is a shallow positron trap
- weak positron localization at dislocation → diffusion along dislocation line
- final trapping at vacancy bound to dislocation or open volume at jog

edge dislocation



screw dislocation

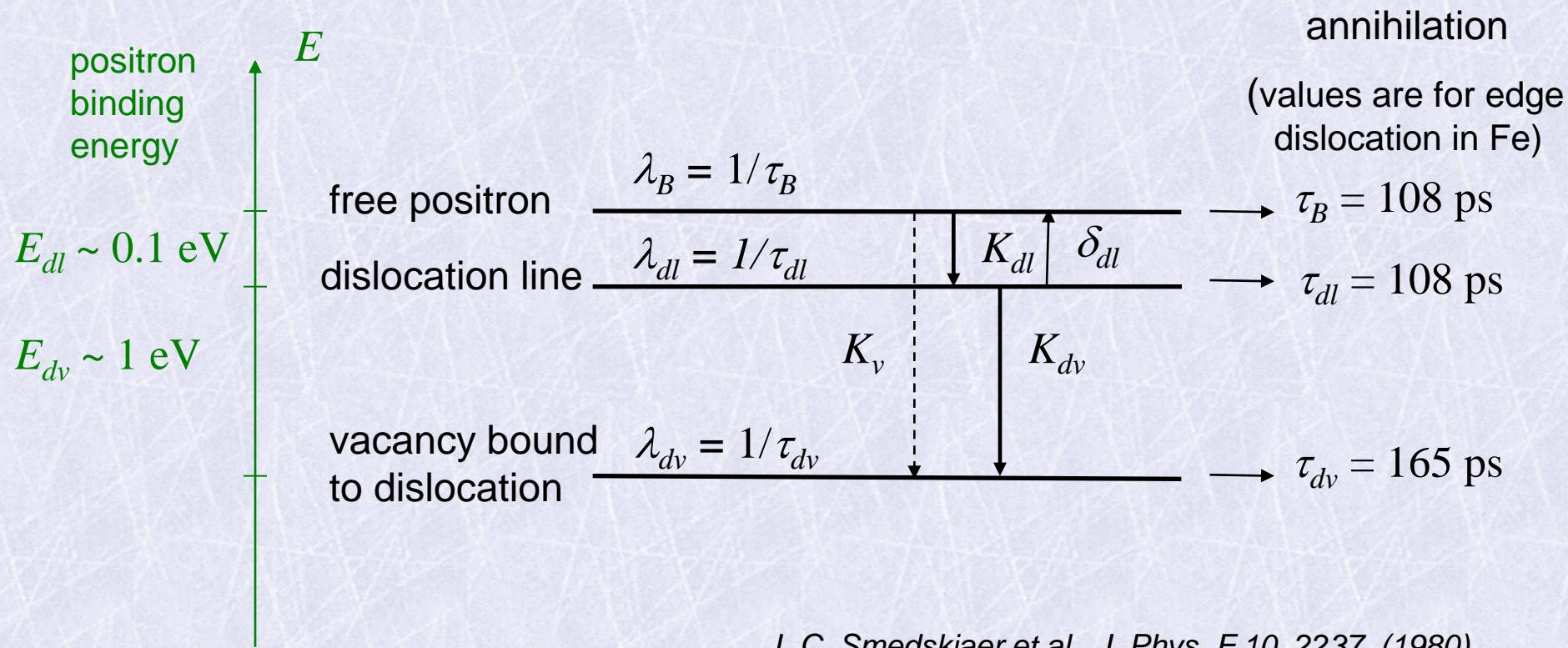


# Positron trapping at dislocations

- two-step positron trapping at dislocation

$K_v \ll K_{dl}$  (vacancy is a point defect but dislocation is a line defect)

$\delta_{dl} \ll K_{dv}$  (there is always enough vacancies attached to dislocation)

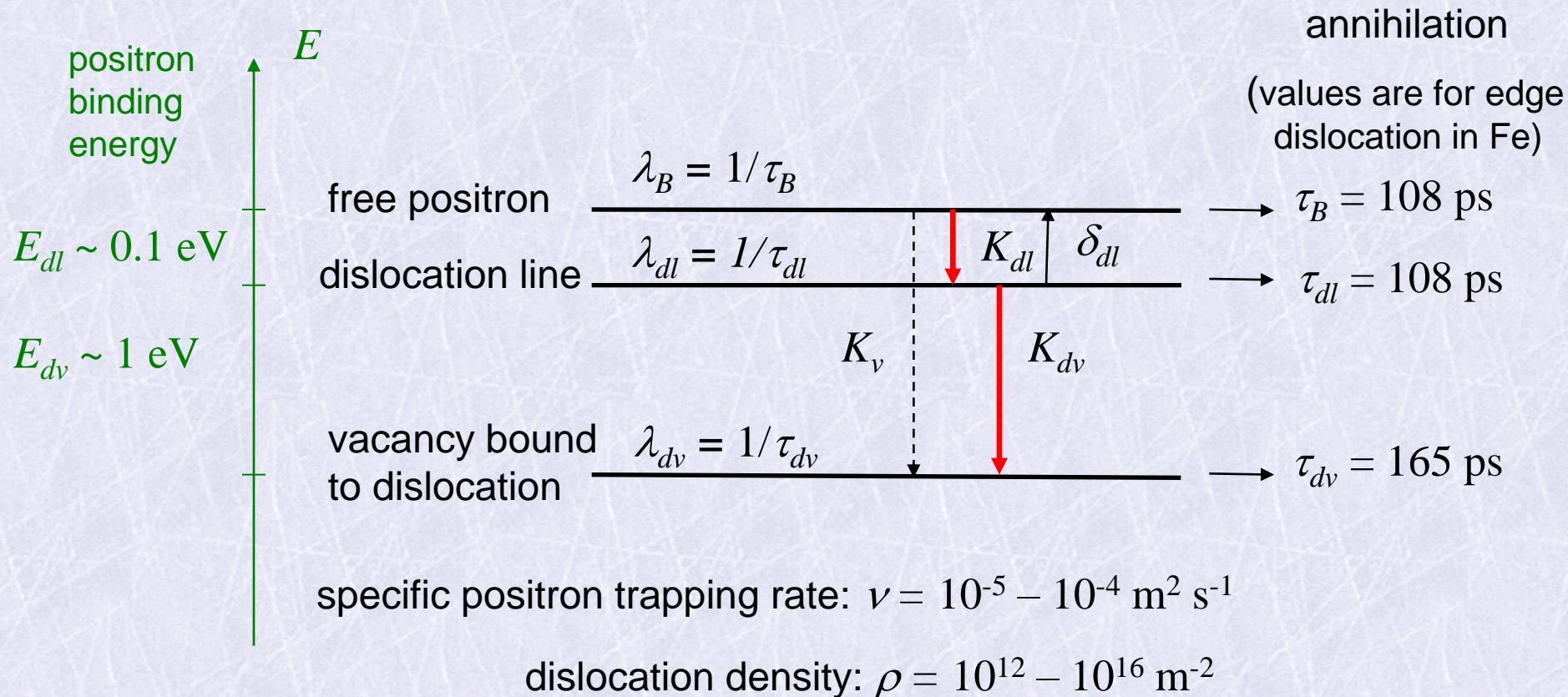


# Positron trapping at dislocations

- two-state positron trapping at dislocation

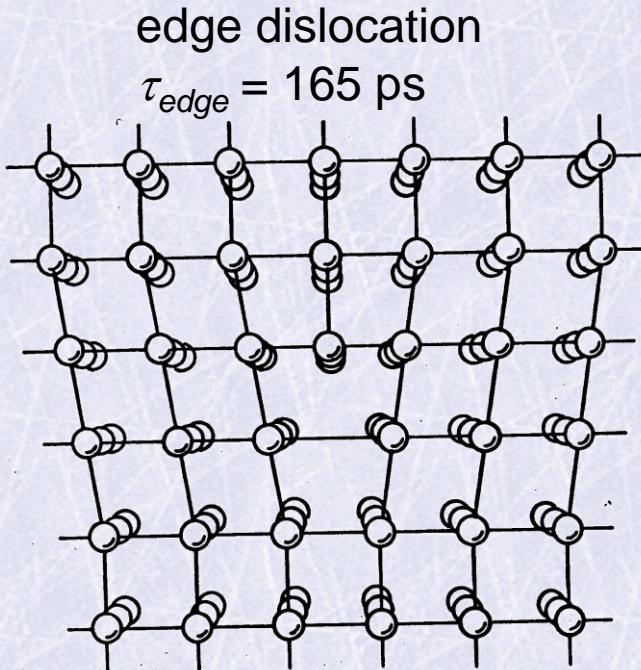
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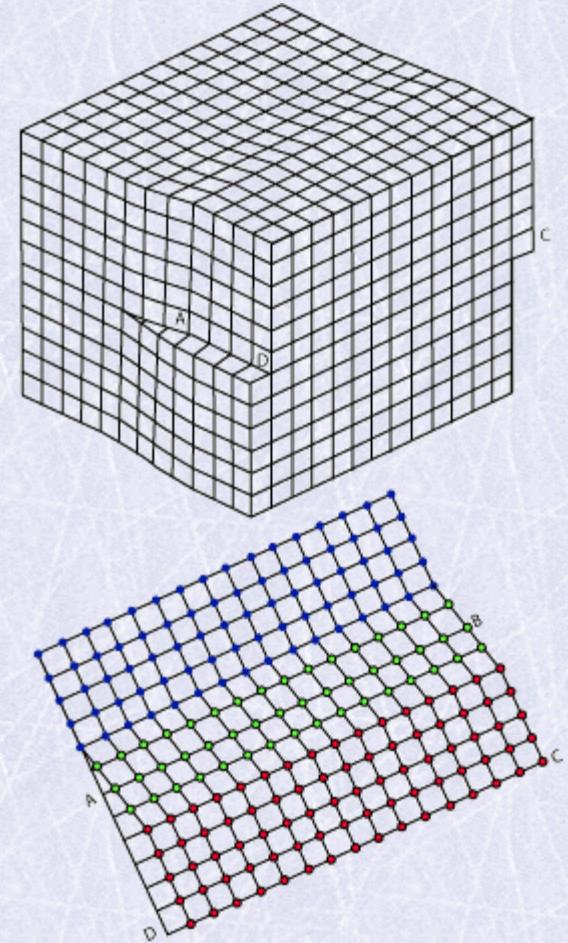


# Positron trapping at dislocations in Fe (or steels)

- open volumes attached to edge dislocations are larger    Y.K. Park et al. , PRB 34, 823 (1986)
- screw and edge dislocations can be distinguished

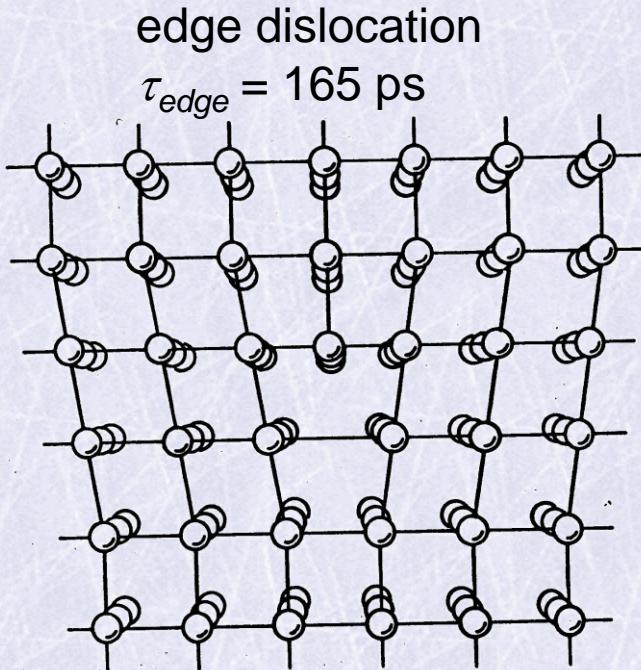


screw dislocation

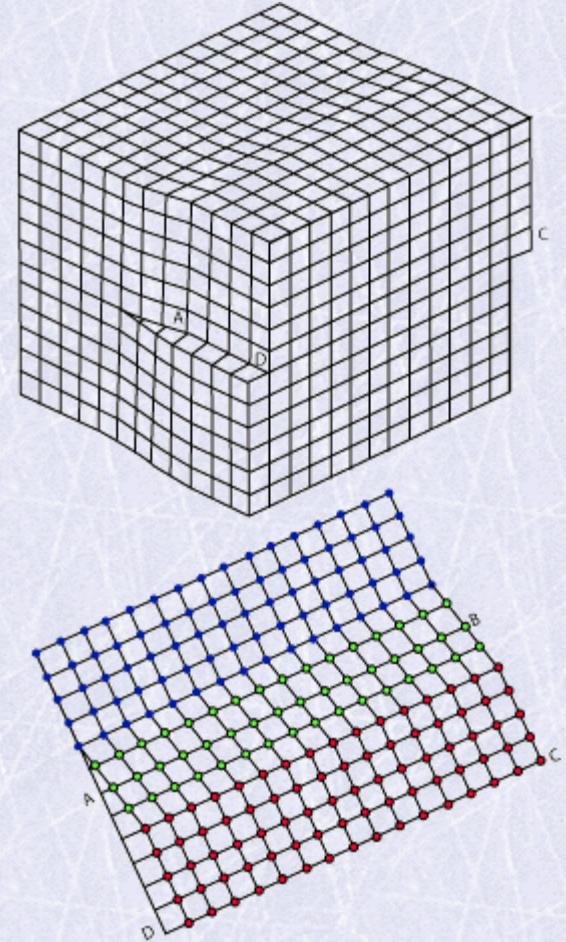
$$\tau_{\text{screw}} = 142 \text{ ps}$$


# Positron trapping at dislocations in Fe (or steels)

- open volumes attached to edge dislocations are larger    Y.K. Park et al. , PRB 34, 823 (1986)
- screw and edge dislocations can be distinguished
- fraction of screw dislocations:  $f_{screw} = \frac{\tau - \tau_{edge}}{\tau_{screw} - \tau_{edge}}$

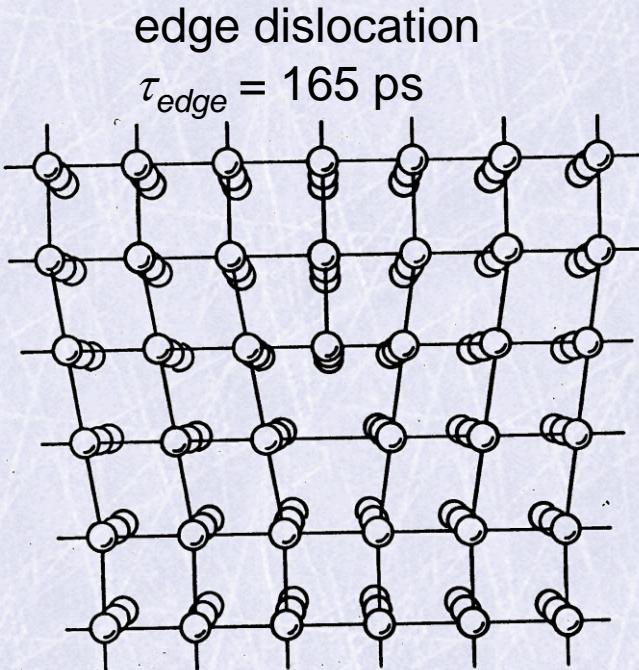


screw dislocation  
 $\tau_{screw} = 142 \text{ ps}$

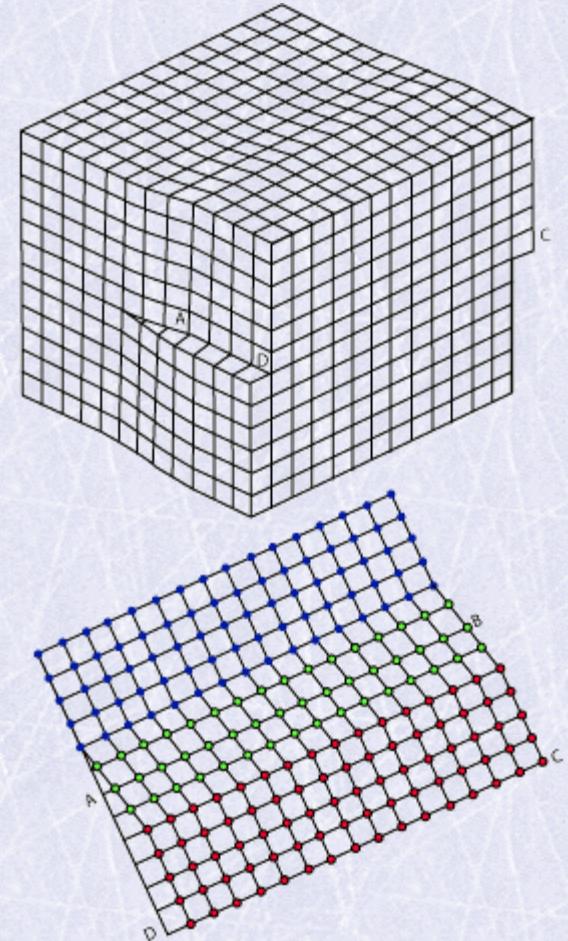


# Positron trapping at dislocations in Fe (or steels)

- open volumes attached to edge dislocations are larger    Y.K. Park et al. , PRB 34, 823 (1986)
- screw and edge dislocations can be distinguished
- typical lifetimes of trapped positrons in deformed steels: 150 – 155 ps



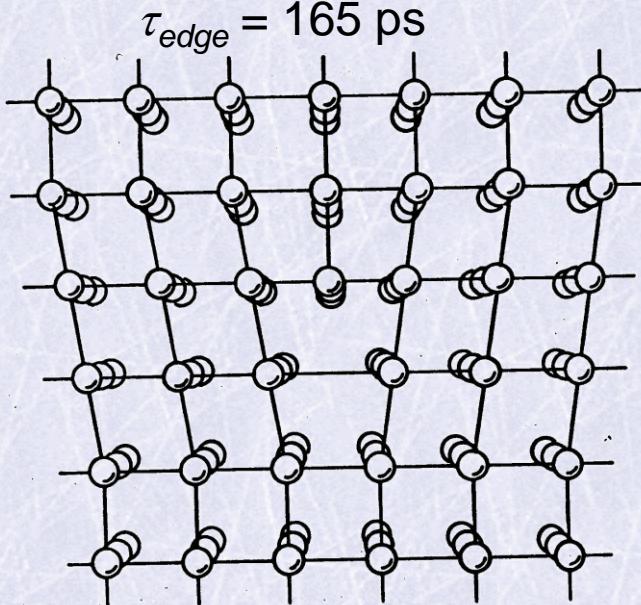
screw dislocation

$$\tau_{\text{screw}} = 142 \text{ ps}$$


# Positron trapping at dislocations in Fe (or steels)

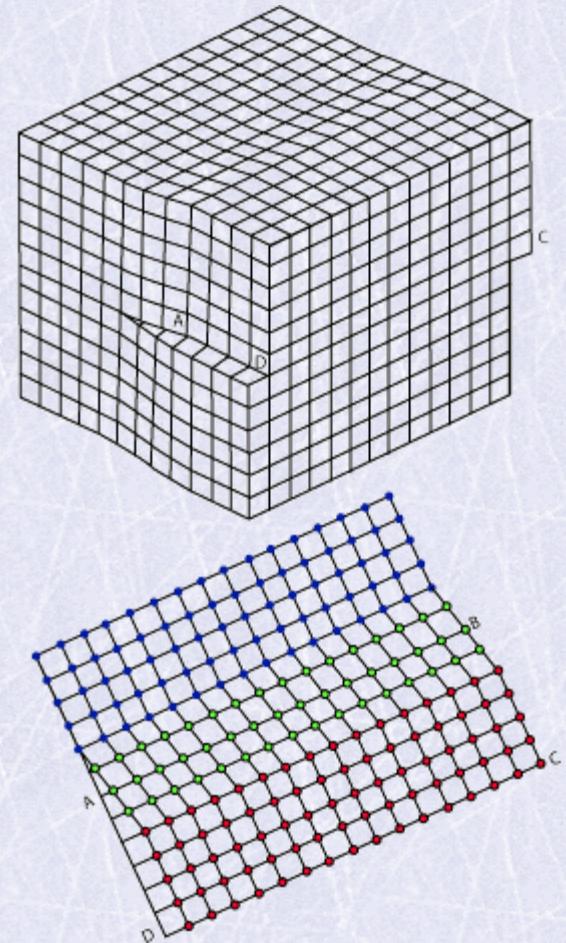
- open volumes attached to edge dislocations are larger    Y.K. Park et al. , PRB 34, 823 (1986)
- screw and edge dislocations can be distinguished
- unfortunately the lifetimes for edge and screw dislocations have been determined only for Fe so far

edge dislocation



screw dislocation

$\tau_{\text{screw}} = 142 \text{ ps}$

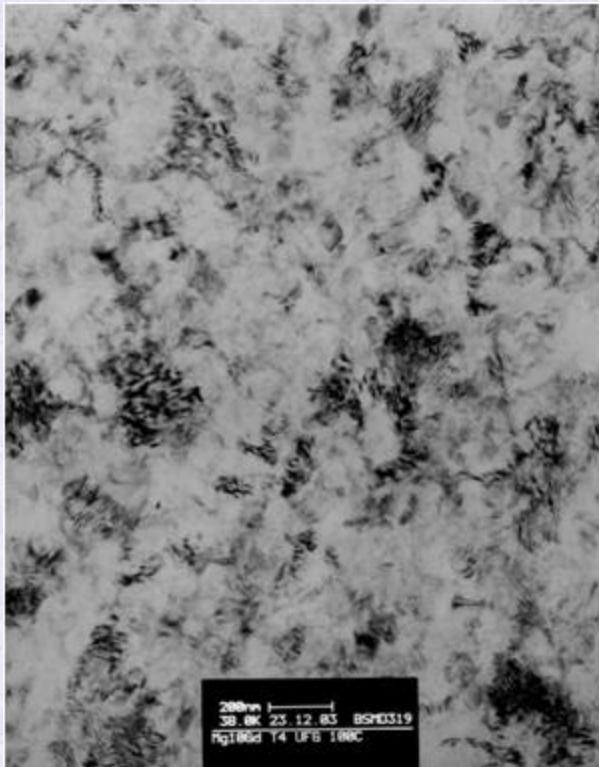


## Positron trapping at dislocations – distribution of dislocations

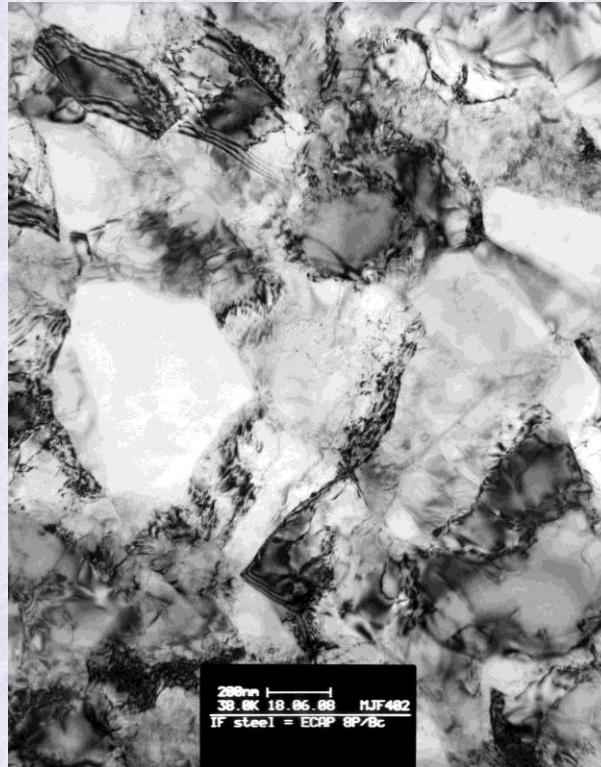
- uniform distribution of dislocations → simple trapping model  
(hcp metals, metals with low SFE)
- dislocation cell structure → diffusion trapping model  
(cubic metals with medium and high SFE)

$$\rho = \frac{1}{\nu} \frac{I_2}{I_1} \left( \frac{1}{\tau_B} - \frac{1}{\tau_D} \right)$$

↑  
specific positron trapping  
rate to dislocations



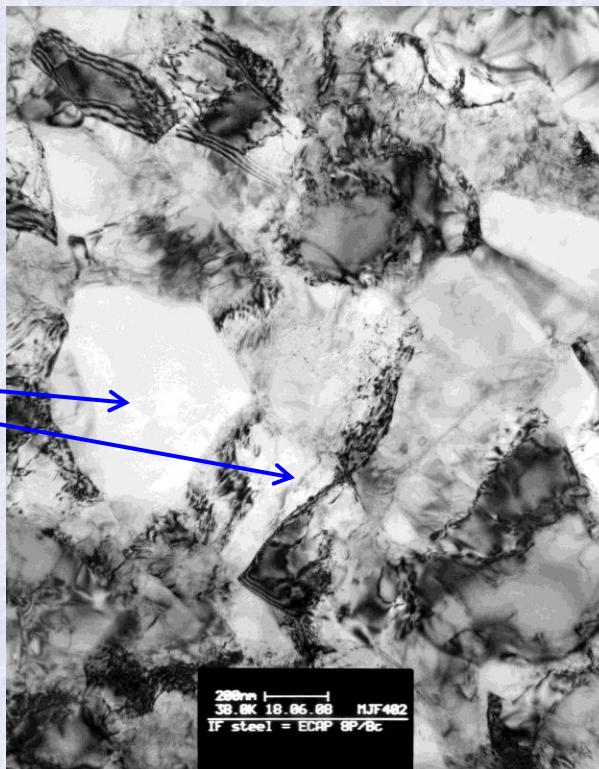
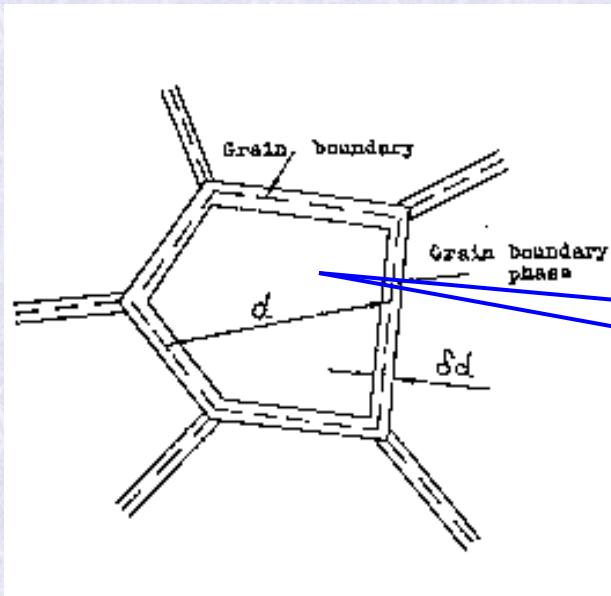
HPT-deformed Mg-10wt.%Gd alloy



HPT-deformed IF steel

# Positron trapping at dislocations – dislocation cell structure

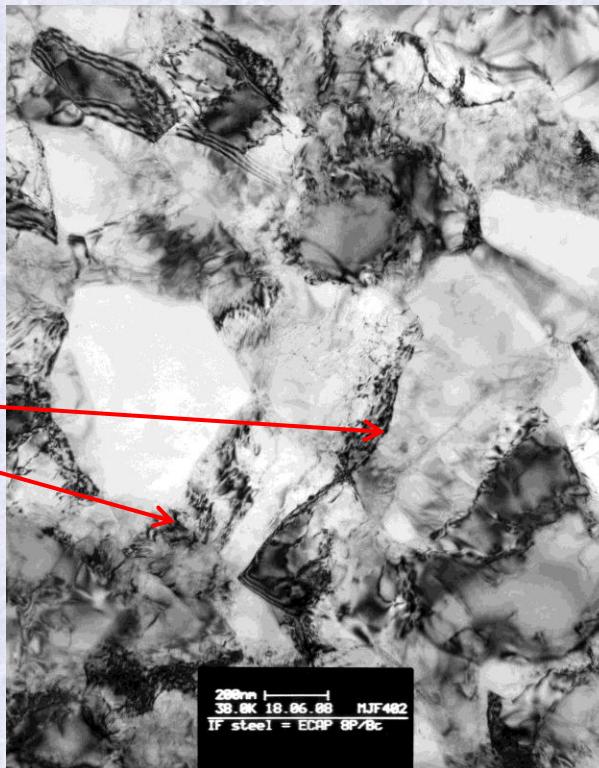
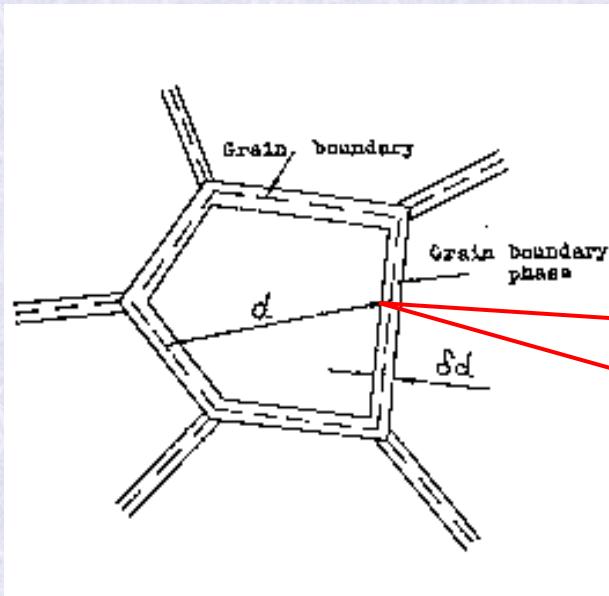
- dislocation cell structure
- dislocation-free cell interiors



*HPT-deformed IF steel*

# Positron trapping at dislocations – dislocation cell structure

- dislocation cell structure
- dislocation-free cell interiors
- distorted regions with high density of dislocations (dislocation walls)



HPT-deformed IF steel

# Diffusion trapping model (DTM)

- dislocation-free spherical cells with radius  $R$
- surrounded by dislocation walls with thickness  $\delta$

thermalization



1. positrons stopped at distorted regions  $\longrightarrow$  trapping at dislocations

$$\frac{\partial n}{\partial t} = D_+ \left( \frac{\partial^2 n}{\partial r^2} + \frac{2}{r} \frac{\partial n}{\partial r} \right) - \lambda_B n \quad \text{diffusion to distorted regions}$$

annihilation from free state

2. positrons stopped inside cells

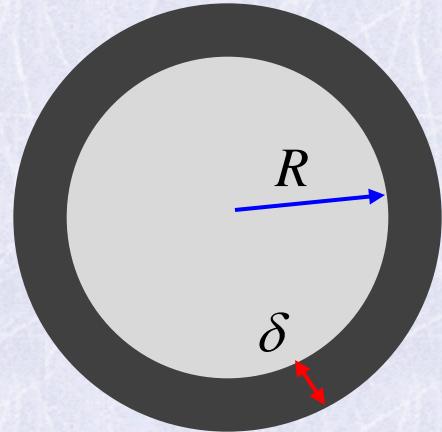
$D_+$  - positron diffusion coefficient

$$\left( \frac{\partial n}{\partial t} \right)_{r=R} = - \frac{\nu \rho \delta}{\eta D_+} n(R, t) \quad \text{boundary condition}$$

$$n(r, 0) = \frac{1 - \eta}{4/3 \pi R^3} \quad \text{initial condition}$$

A. Dupasquier et al. PRB 48, 9235 (1993)

J. Čížek et al. PRB 65, 094106 (2002)

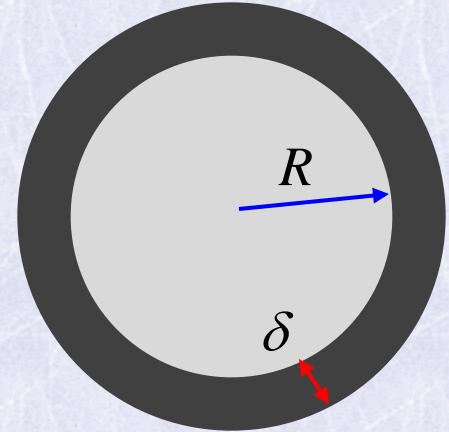


$$\eta = \frac{(R + \delta)^3 - R^3}{(R + \delta)^3}$$

volume fraction of distorted regions

## Diffusion trapping model (DTM)

- spherical grains with radius  $R$
- distorted regions along grain boundaries with thickness  $\delta$
- positron lifetime spectrum



$$S(t) = \sum_k^{\infty} t_k^{-1} i_k e^{-t/t_k} + \tau_d^{-1} I_d e^{-t/\tau_d}$$

$\tau_d$  – lifetime of positrons trapped at dislocations

$$I_d = 1 - \sum_k^{\infty} i_k \quad \text{– intensity of dislocation component}$$

$$t_k = \left( \tau_B^{-1} + \frac{\beta_k^2 D_+}{R^2} \right)^{-1} \quad \text{– infinite number of "free positron" components}$$

$$i_k = 3(1-\eta) \frac{\nu \rho \delta}{\eta R} \alpha_k \left( \frac{1}{t_k^{-1} - \tau_B^{-1}} - \frac{1}{t_k^{-1} - \tau_d^{-1}} \right)$$

$$\beta_k \cot \beta_k + \xi - 1 = 0$$

$$\alpha_k = \frac{2\xi}{\beta_k^2 + \xi(\xi-1)}$$

$$\xi = \frac{\nu R \rho \delta}{\eta D_+}$$

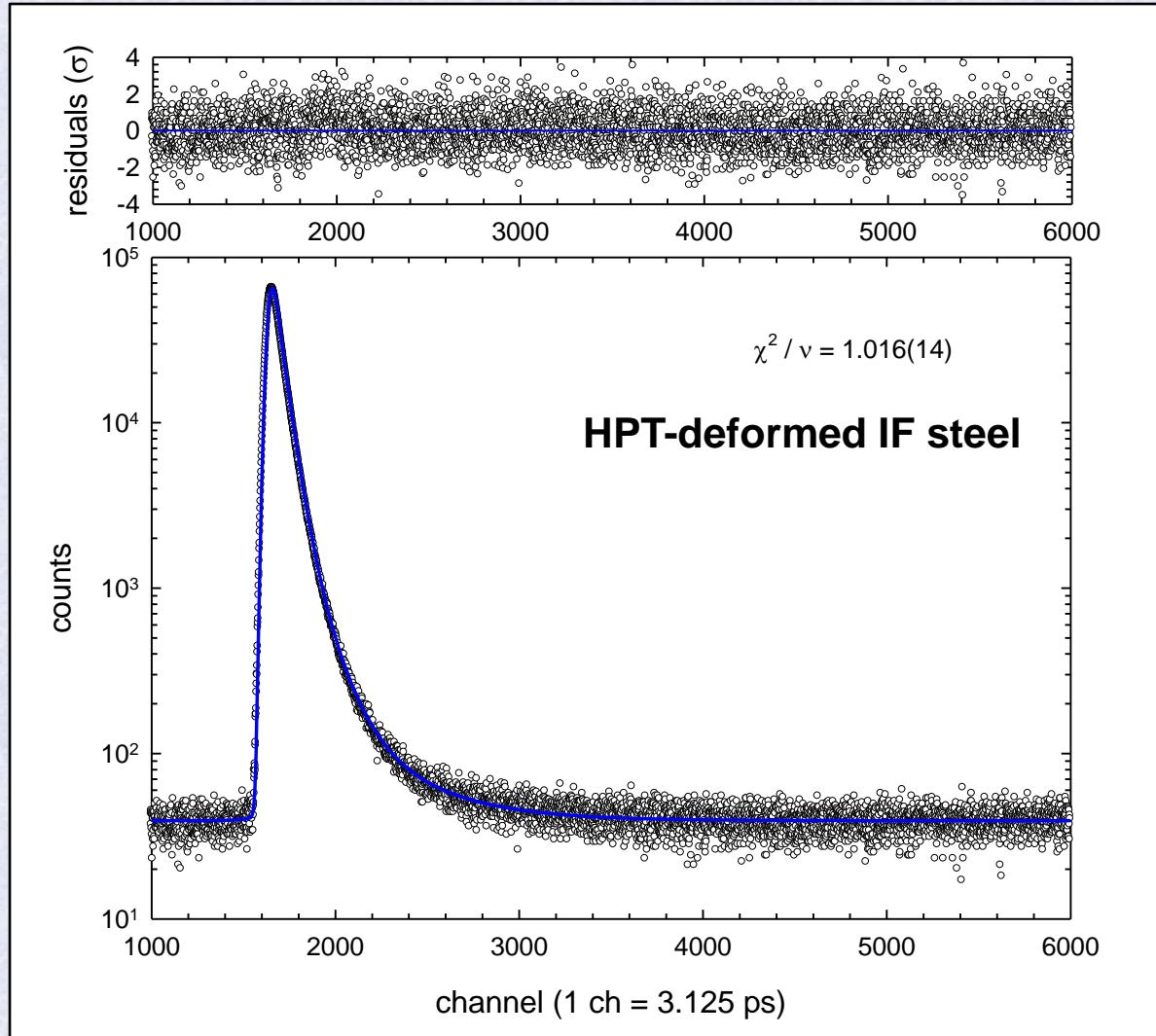
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## Diffusion trapping model (DTM)

- direct fitting of positron lifetime spectra by DTM
- from fitting we obtain the following structural parameters:

- size of cells  $2R$
- mean dislocation density  $\rho$
- volume fraction of distorted regions  $\eta$
- lifetime of positrons trapped at dislocations  $\tau_d$
- fraction of screw dislocations  $f_{screw}$



## Diffusion trapping model (DTM)

- direct fitting of positron lifetime spectra by DTM
- fixed parameters
- width of distorted regions  $\delta = 10 \text{ nm}$
- specific positron trapping rate to dislocations  $\nu = 0.36 \times 10^{-4} \text{ m}^2 \text{s}^{-1}$

J. Čížek et al., Phys. Stat. Sol. A 178, 651 (2000)

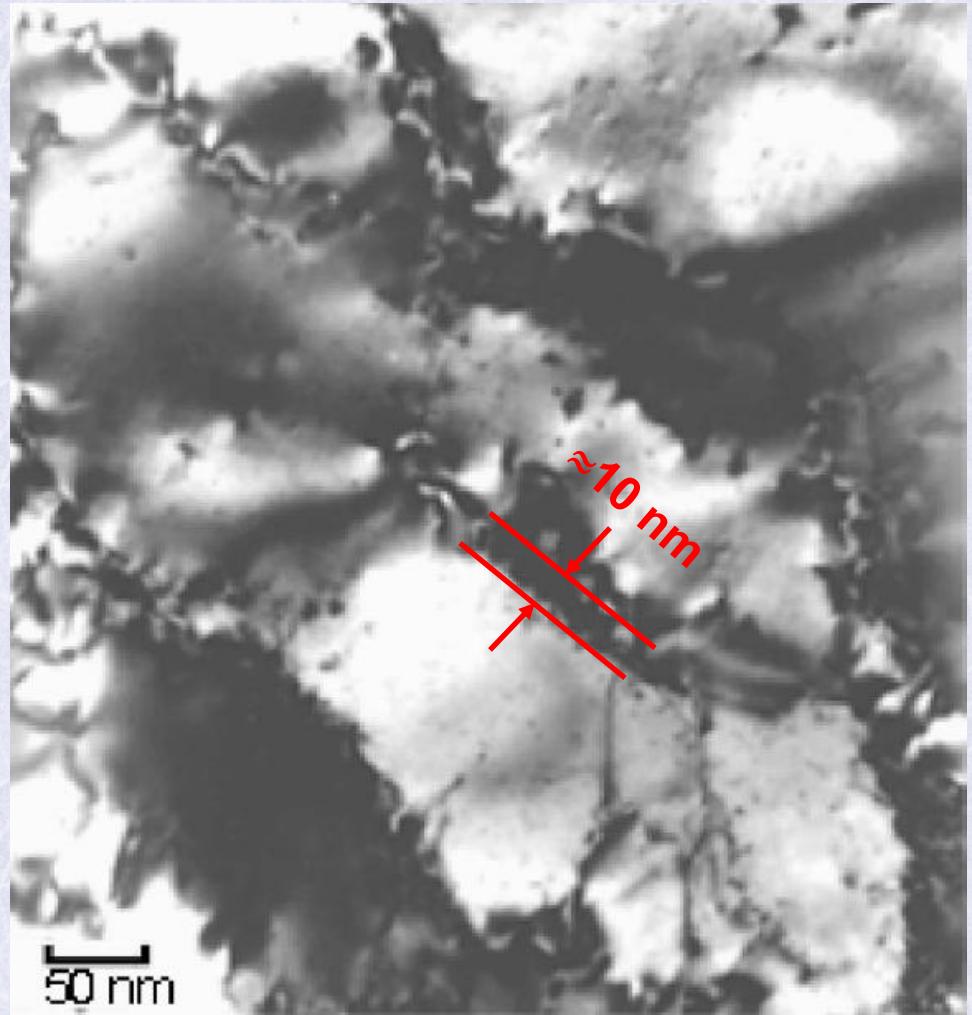
- bulk positron lifetime for Fe  
 $\tau_B = 108 \text{ ps}$

F. Bečvář et al., Appl. Surf. Sci. 255, 111 (2008)

- positron diffusion coefficient for Fe  
 $D_+ = 1.87 \text{ cm}^2 \text{ s}^{-1}$

F. Lukáč et al., J. Phys. Conf. Ser. 443, 012025 (2013)

HPT-deformed IF steel

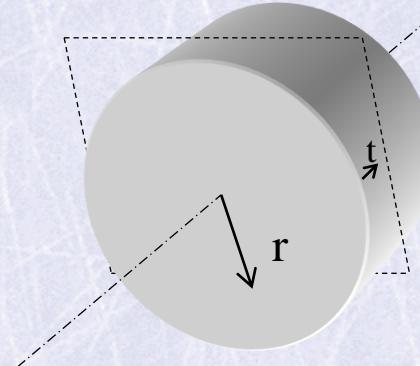
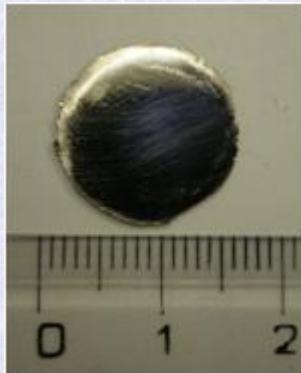


## PAS and XRD

- PAS
- size of dislocation-free cells  $2R$
- mean dislocation density  $\rho$
- fraction of screw dislocations  $f_{screw}$
- open volume point defects  
(vacancies, vacancy clusters)
- XRD line profile analysis
- size of crystallites  $D$   
(coherently diffracting domains)
- mean dislocation density  $\rho$
- fraction of screw dislocations  $f_{screw}$
- dislocation distribution parameter  $M$
- lattice parameters

# High pressure torsion (HPT)

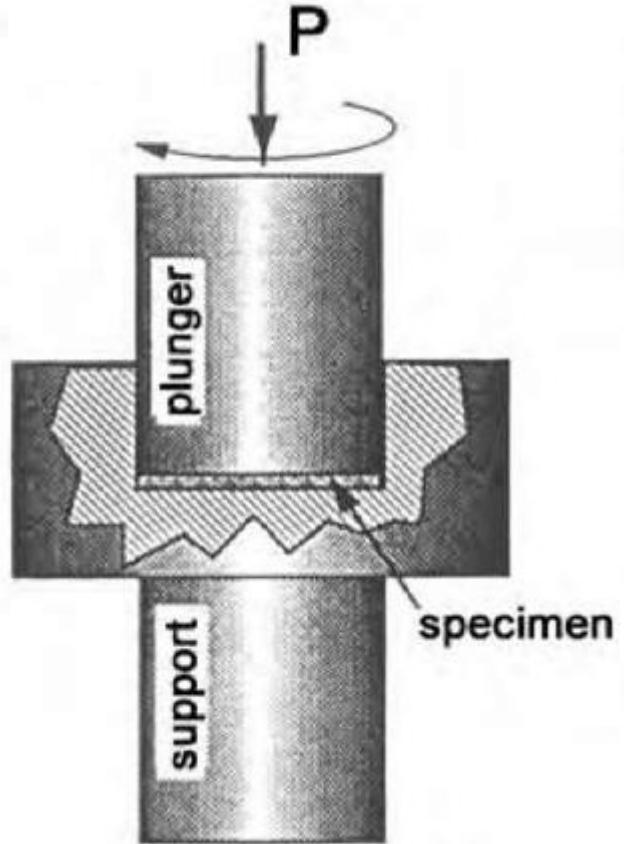
- Interstitial-free steel  
Pohang Steel Company (POSCO), Korea
- composition (wt.%):  
0.0026 C, 0.096 Mn, 0.045 Al, 0.041 Ti
- number of HPT revolutions  $N = \frac{1}{4}, \frac{1}{2}, 1, 3, 5$
- disk shaped samples  
diameter 14 mm, thickness 0.3 mm



$$e = \frac{1}{\sqrt{3}} \frac{2\pi r N}{l}$$

- $e$  - true strain
- $N$  – number of rotations
- $r$  - distance from center
- $l$  - sample thickness

high pressure 2.5 GPa



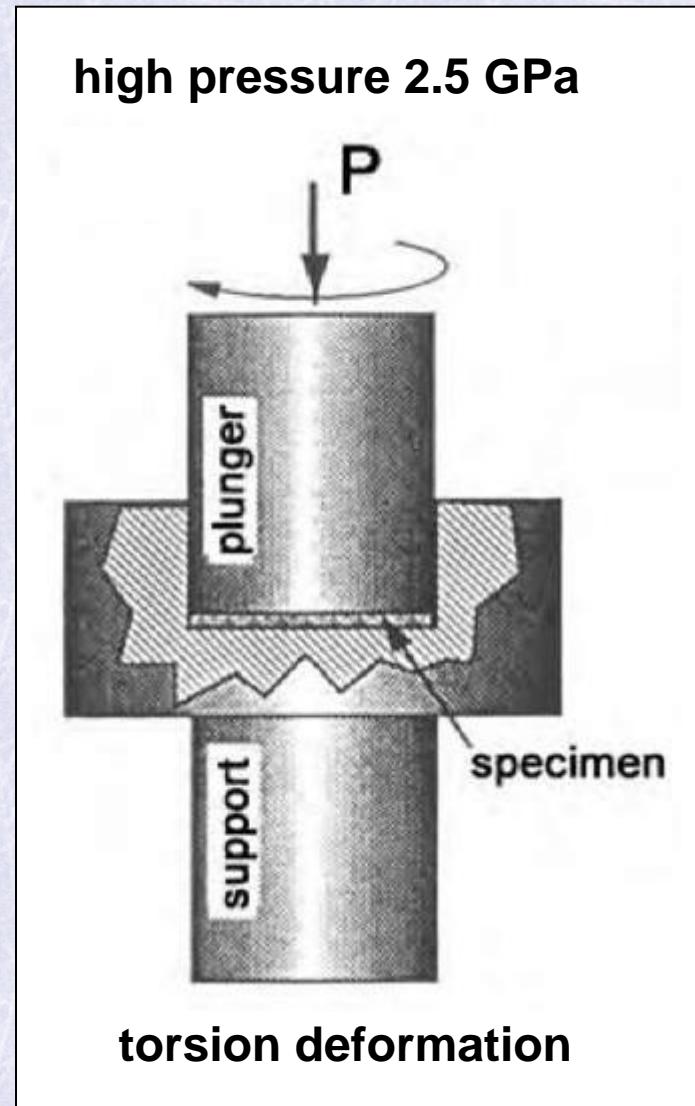
torsion deformation

# High pressure torsion (HPT)

- Interstitial-free steel  
Pohang Steel Company (POSCO), Korea
- composition (wt.%):  
0.0026 C, 0.096 Mn, 0.045 Al, 0.041 Ti
- number of HPT revolutions  $N = \frac{1}{4}, \frac{1}{2}, 1, 3, 5$
- disk shaped samples  
diameter 14 mm, thickness 0.3 mm



center      half-radius  
 $r = 0$        $r = 3.5 \text{ mm}$

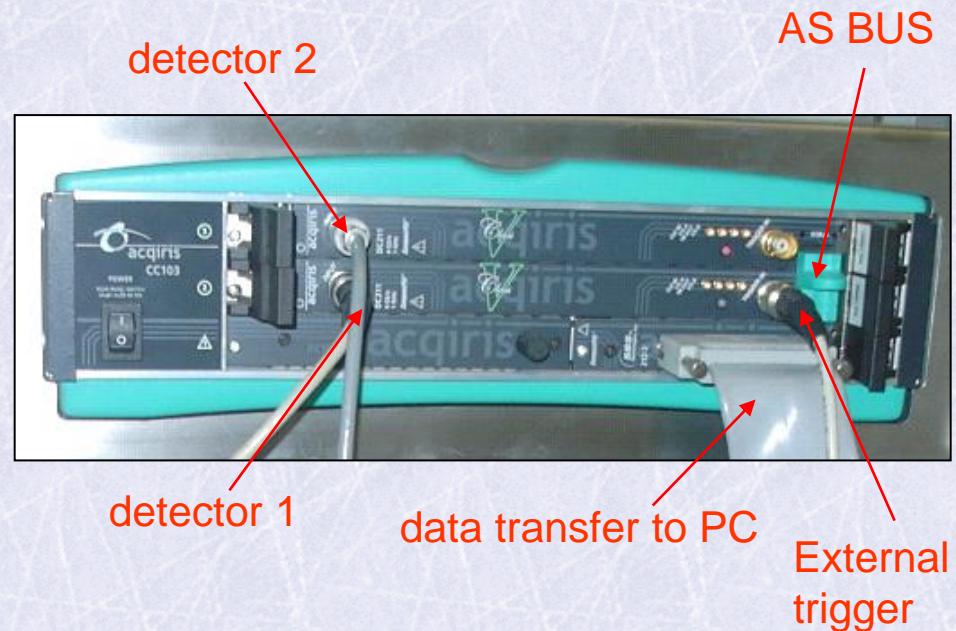
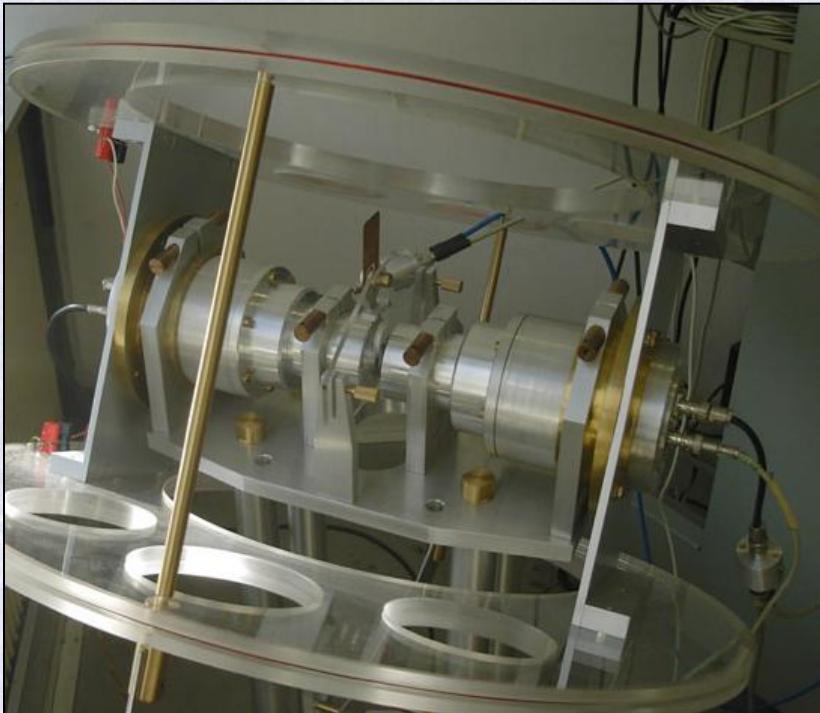


R.Z. Valiev, *Nature Materials* 3, 511-516 (2004)

# LT spectroscopy

**Digital LT spectrometer:** *F. Bečvář et al., Nucl. Instr. Meth. A 539, 372 (2005)*

- Two photomultipliers Hamamatsu H3378 & BaF<sub>2</sub> scintillators
- two 8-bit digitizers Acqiris DC211, sampling rate 4 GHz
- time resolution 145 ps (FWHM <sup>22</sup>Na)
- at least 10<sup>7</sup> annihilation events collected in each LT spectrum
- 1 MBq <sup>22</sup>Na source deposited on 2 μm Mylar foil
- source contribution determined using well annealed Fe:  $\tau_{1s} \approx 368$  ps,  $I_{1s} \approx 9\%$   
 $\tau_{2s} \approx 1.5$  ns,  $I_{2s} \approx 1\%$



# X-ray diffraction

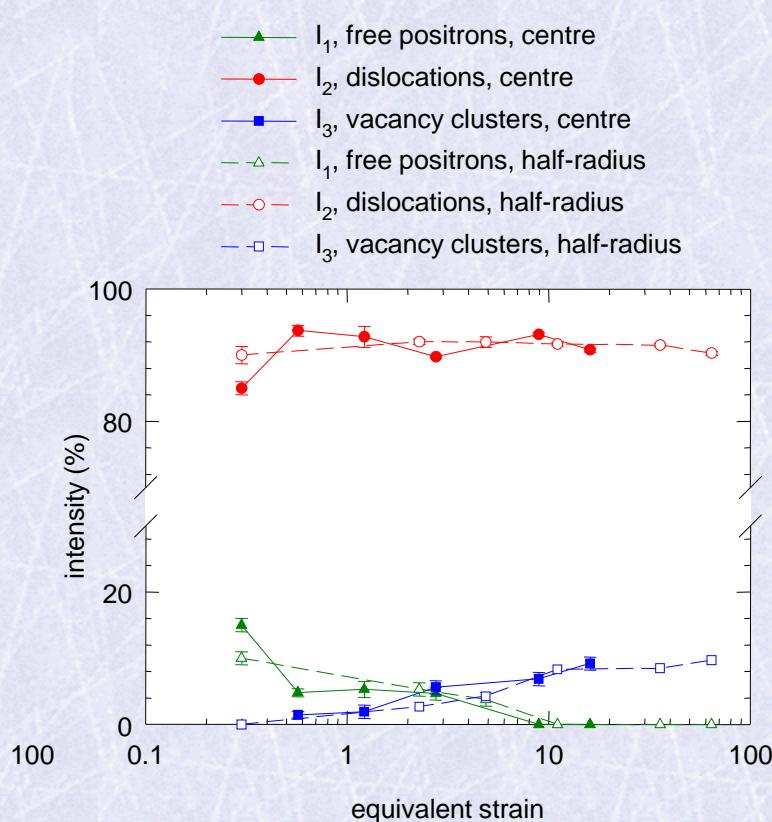
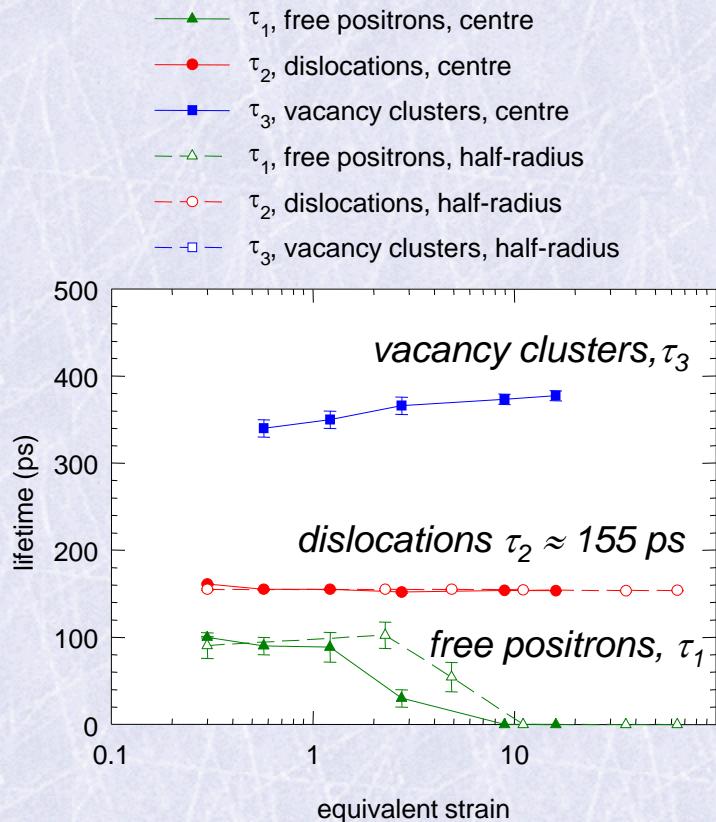
## XRD diffractometer:

- Double crystal diffractometer with negligible instrumental broadening
- Ge monochromator
- Co K<sub>α1</sub> radiation ( $\lambda = 0.1789 \text{ nm}$ )
- size of X-ray beam spot:  $2 \times 0.2 \text{ mm}^2$
- linear position sensitive detector

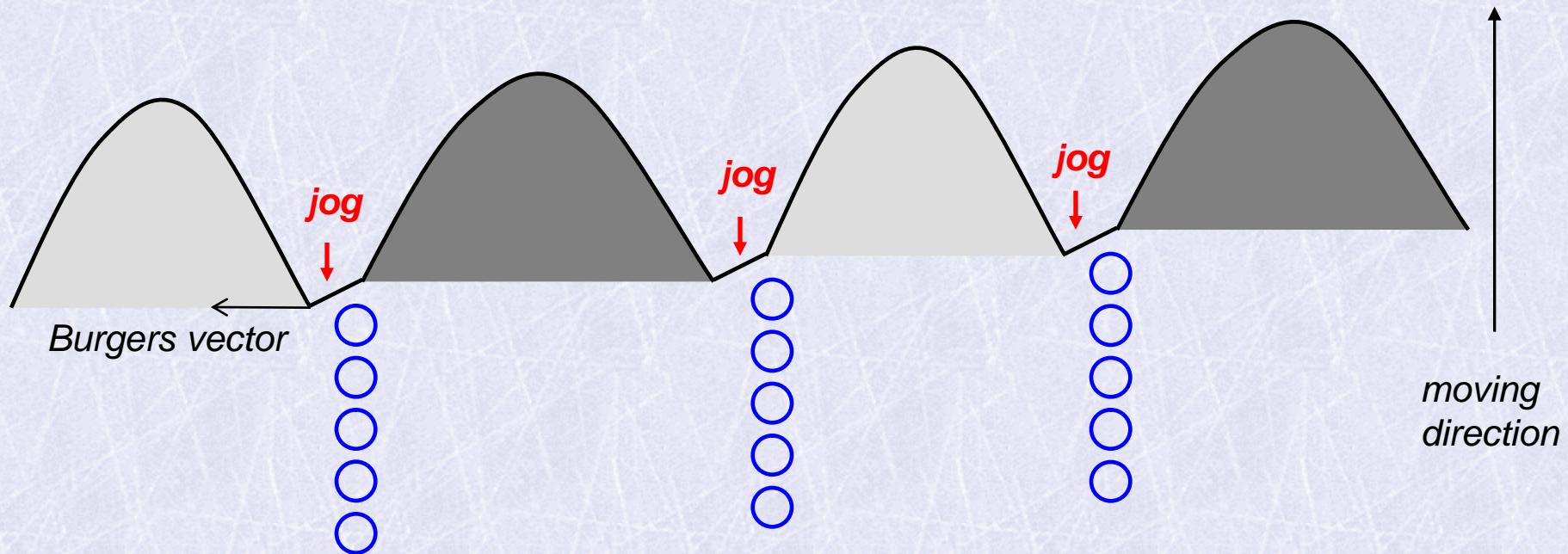
# Results of positron lifetime spectroscopy

## HPT deformed IF steels

- Decomposition of LT spectra into independent exponential components

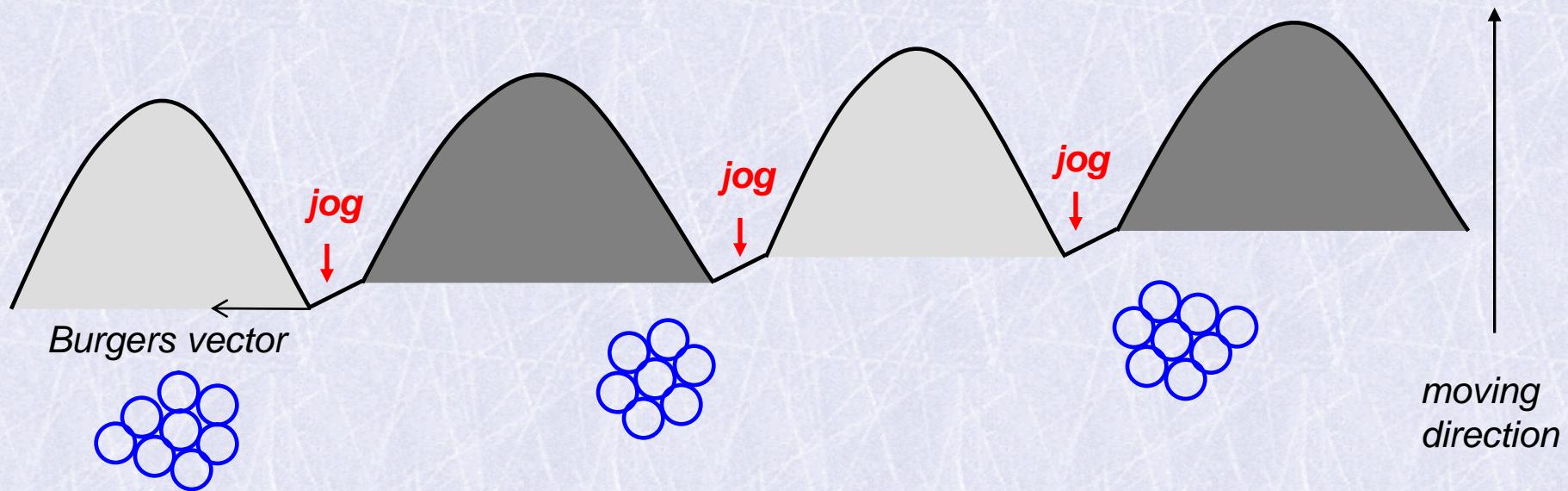


## Generation of vacancies during HPT



- movement of dislocation with a jog

## Generation of vacancies during SPD

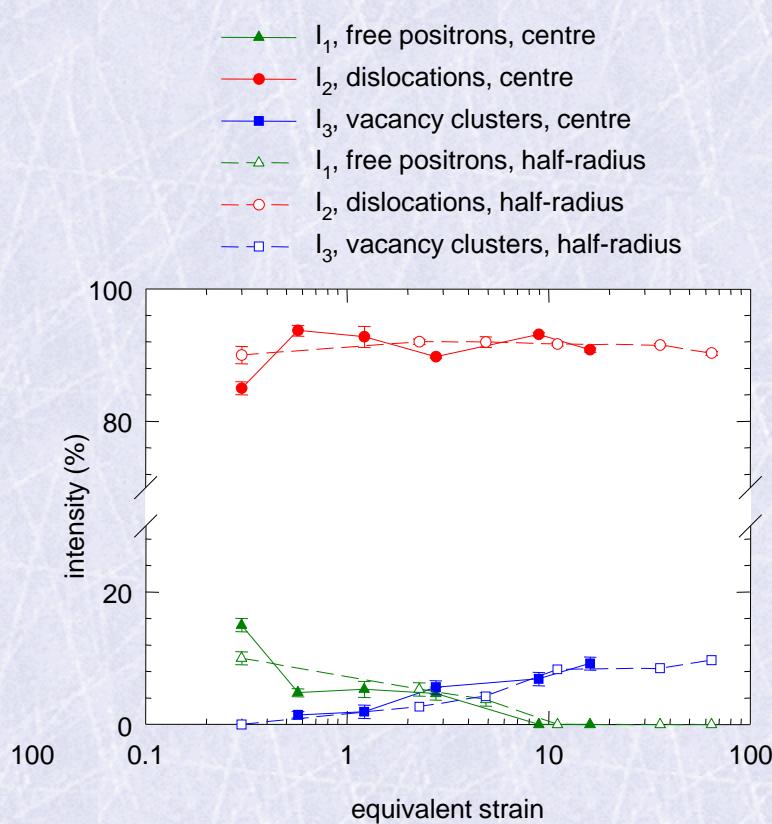
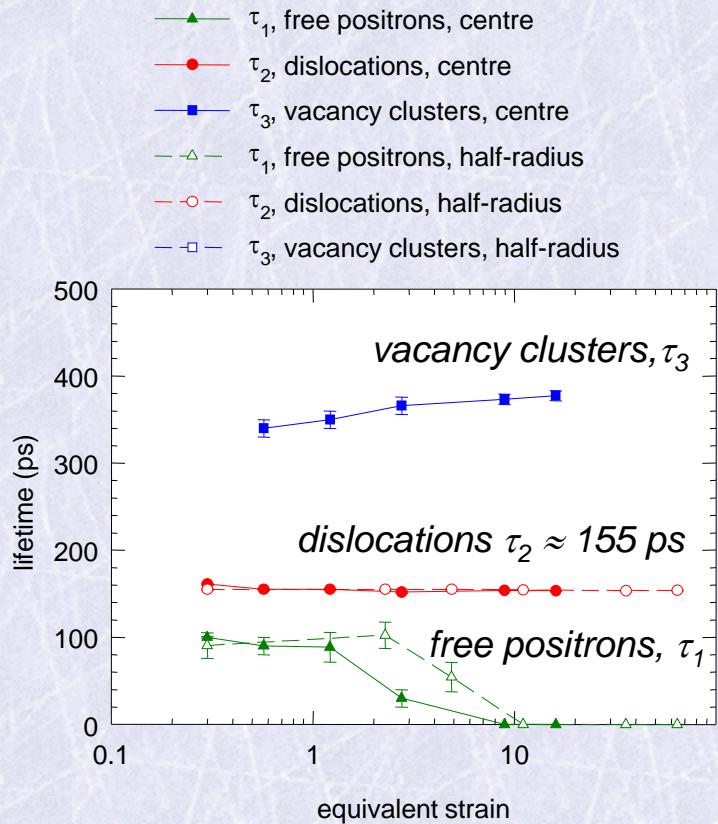


- vacancies agglomerate to small vacancy clusters

# Results of positron lifetime spectroscopy

## HPT deformed IF steels

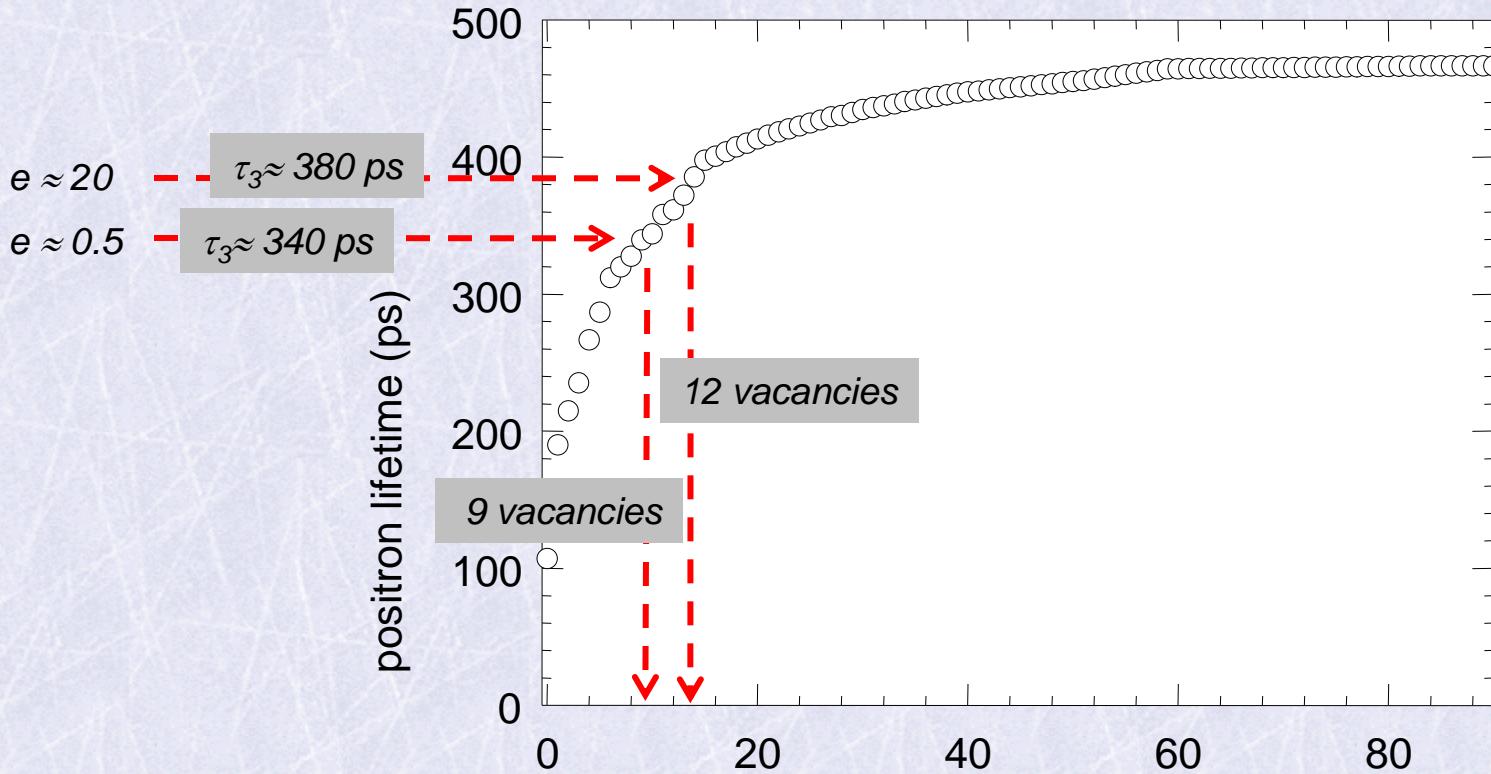
- Decomposition of LT spectra into independent exponential components



# Results of positron lifetime spectroscopy

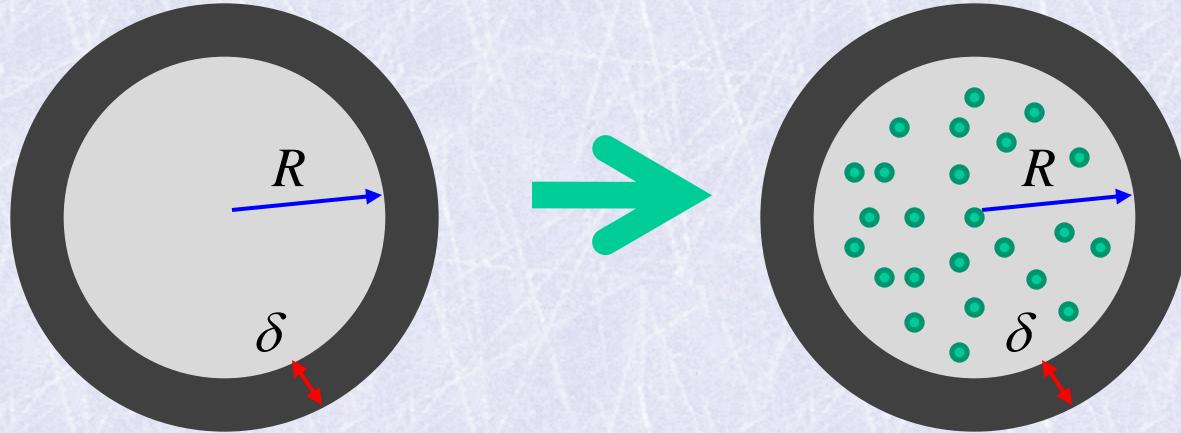
## Ab-initio theoretical calculations

- bcc Fe
- dependence of positron lifetime on the size of vacancy clusters



## Diffusion trapping model with vacancy clusters

- cells contain uniformly distributed vacancy clusters



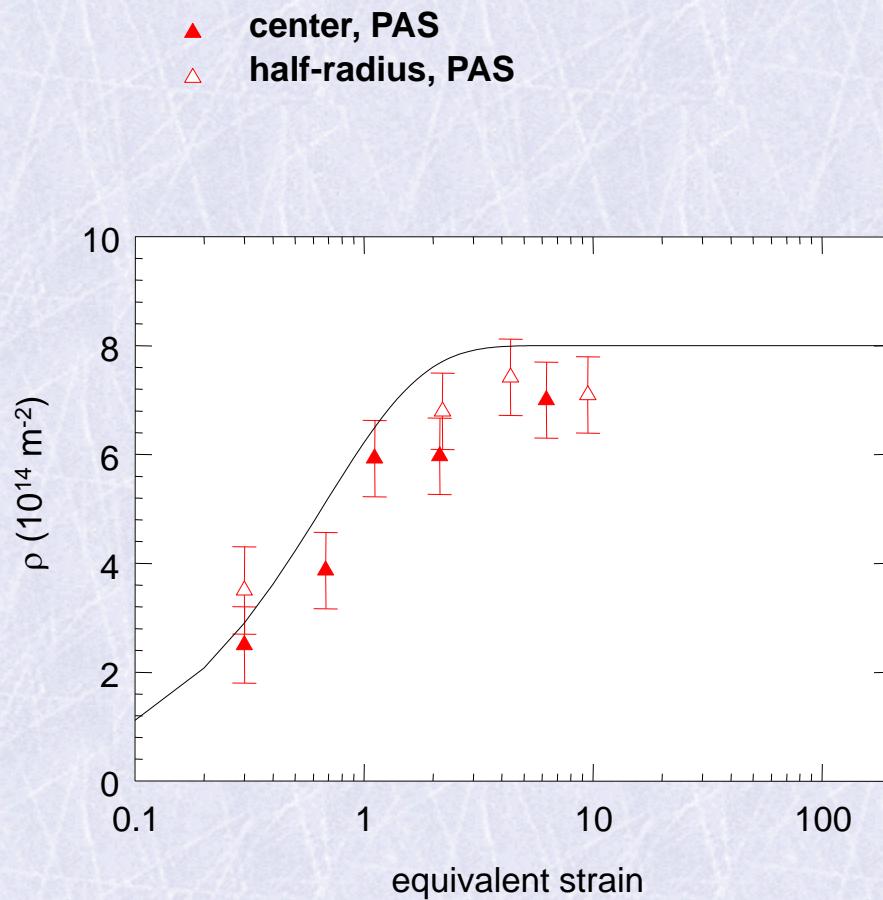
- positron lifetime spectrum

$$S(t) = \sum_k^{\infty} t_k^{-1} i_k e^{-t/t_k} + \tau_d^{-1} I_d e^{-t/\tau_d} + \tau_{cl}^{-1} I_{cl} e^{-t/\tau_{cl}}$$

positrons trapped at vacancy clusters

# Dislocation density

## HPT deformed IF steel

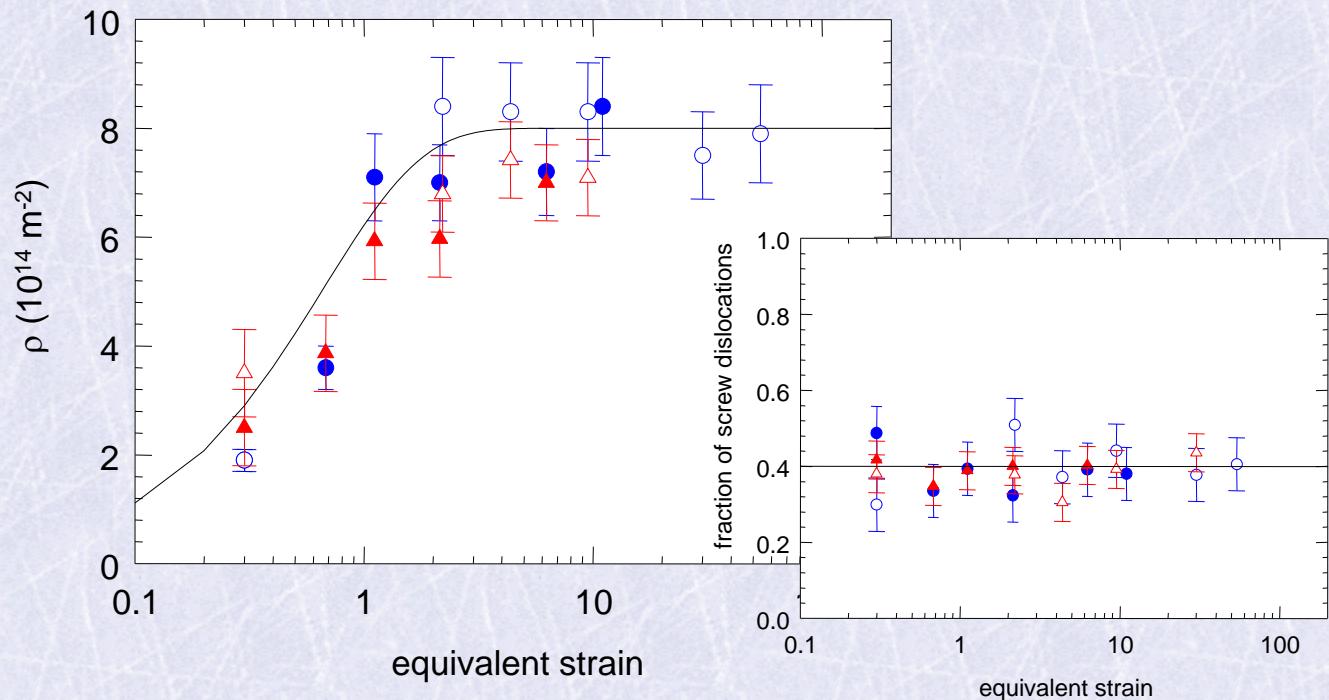


# Dislocation density

## HPT deformed IF steel

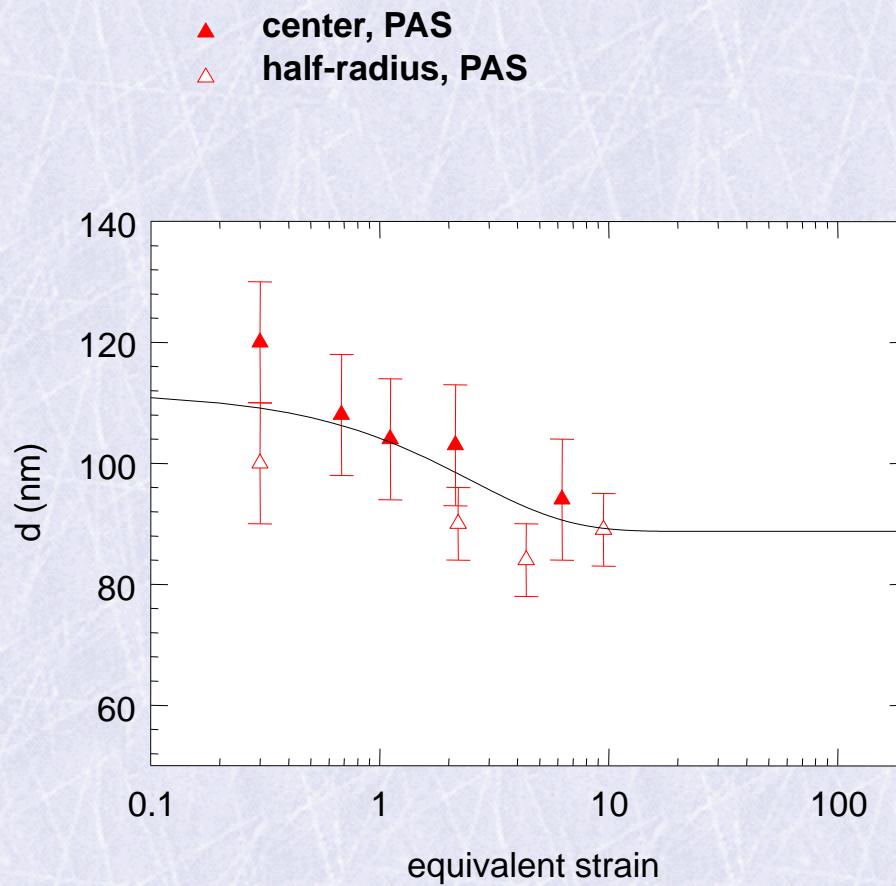
- good agreement between PAS and XRD
- dislocation density increases with strain and saturates at  $e \geq 3$
- edge dislocations prevail

▲ center, PAS  
△ half-radius, PAS  
● center, XRD  
○ half-radius, XRD



# Dislocation cell size

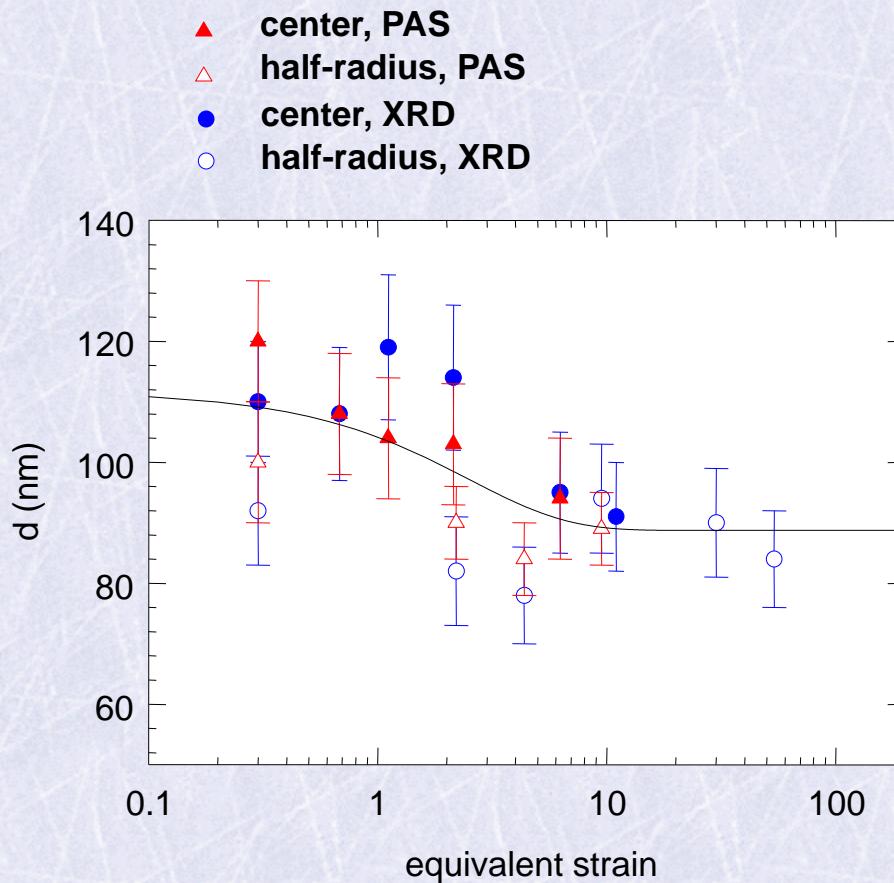
## HPT deformed IF steel



# Dislocation cell size

## HPT deformed IF steel

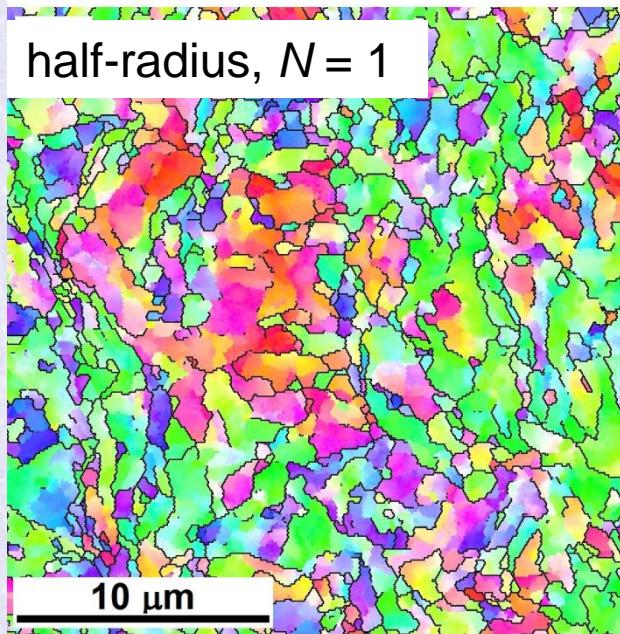
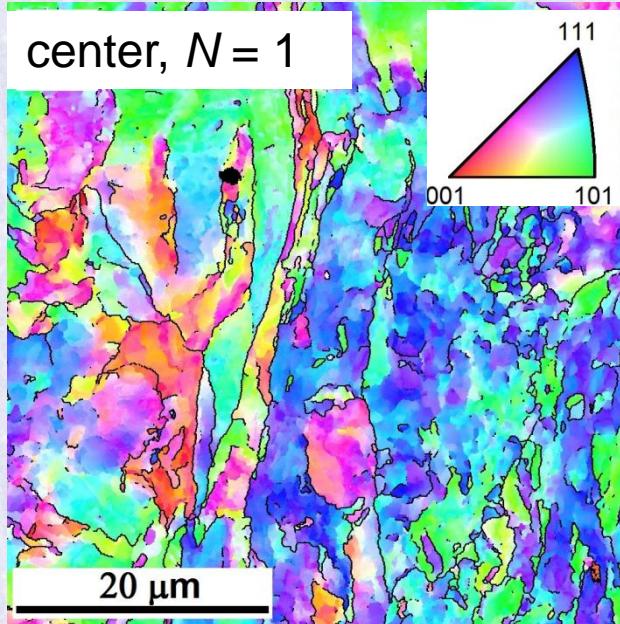
- cell size is close to 100 nm already at  $N = \frac{1}{4}$
- saturation at  $d \approx 90$  nm
- good agreement between PAS and XRD



# Electron backscatter diffraction (EBSD)

## HPT deformed IF steel

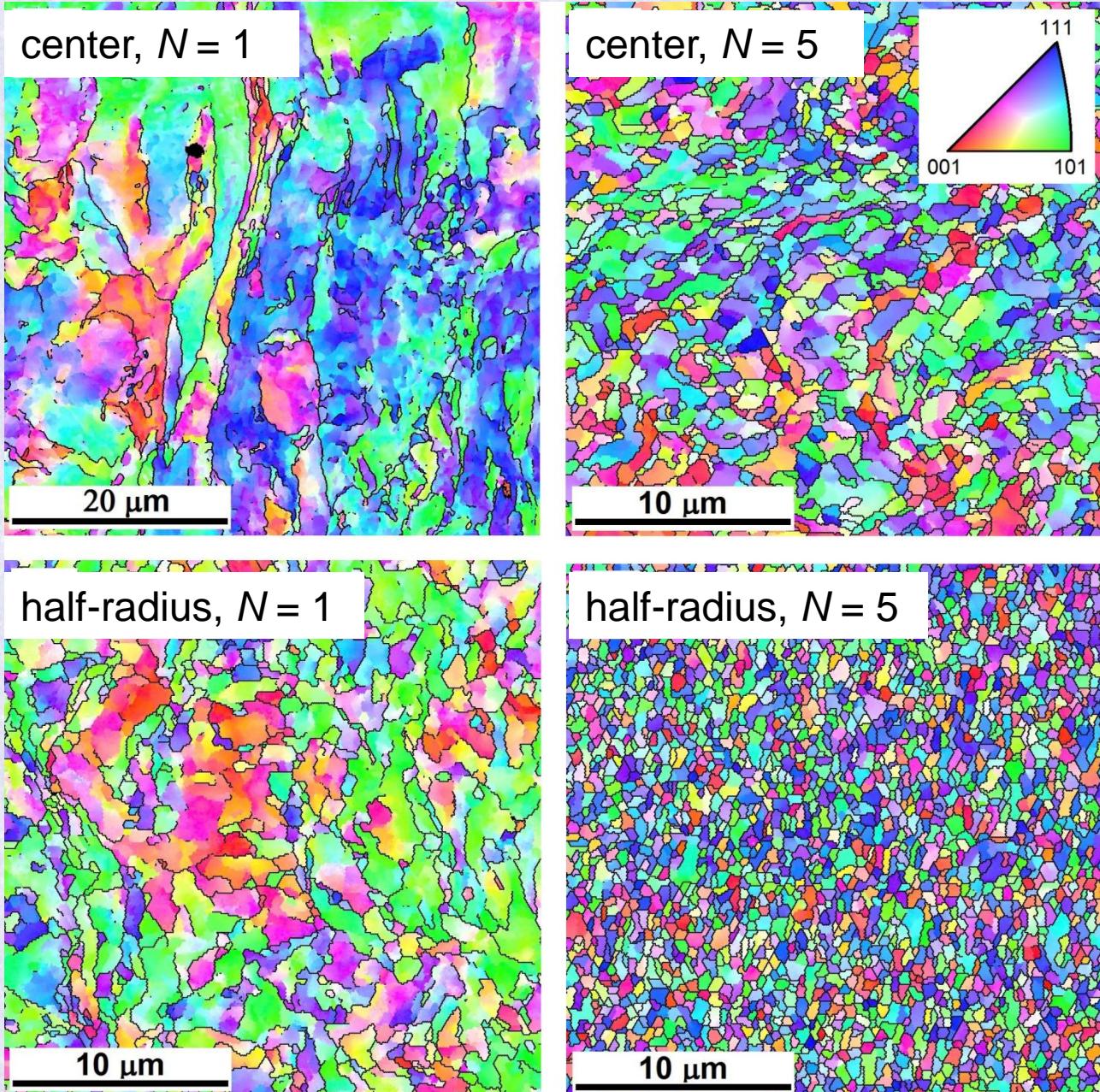
- early stage of HPT processing:  
bimodal structure



# Electron backscatter diffraction (EBSD)

## HPT deformed IF steel

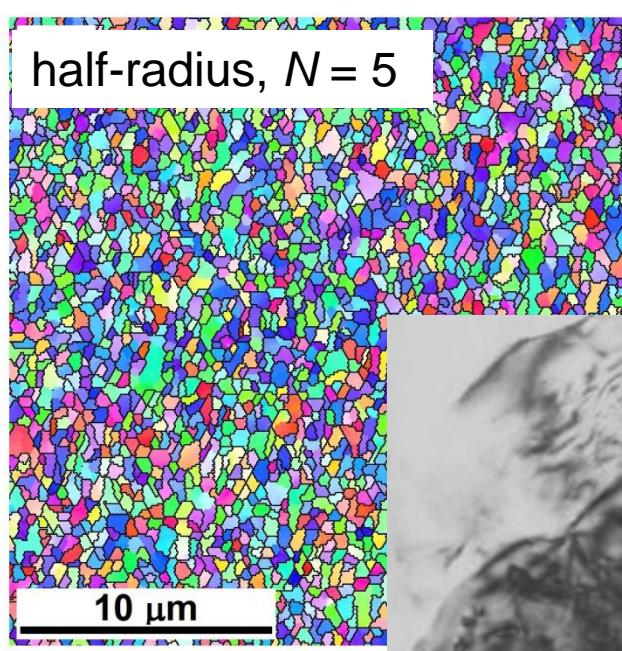
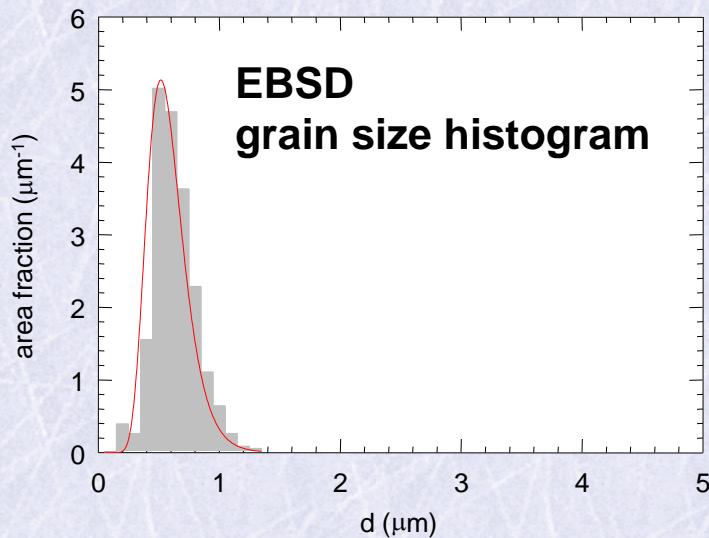
- early stage of HPT processing:  
bimodal structure
- $N = 5$ :  
equiaxed fine grains
- grain refinement is  
faster at half-radius  
than in the centre



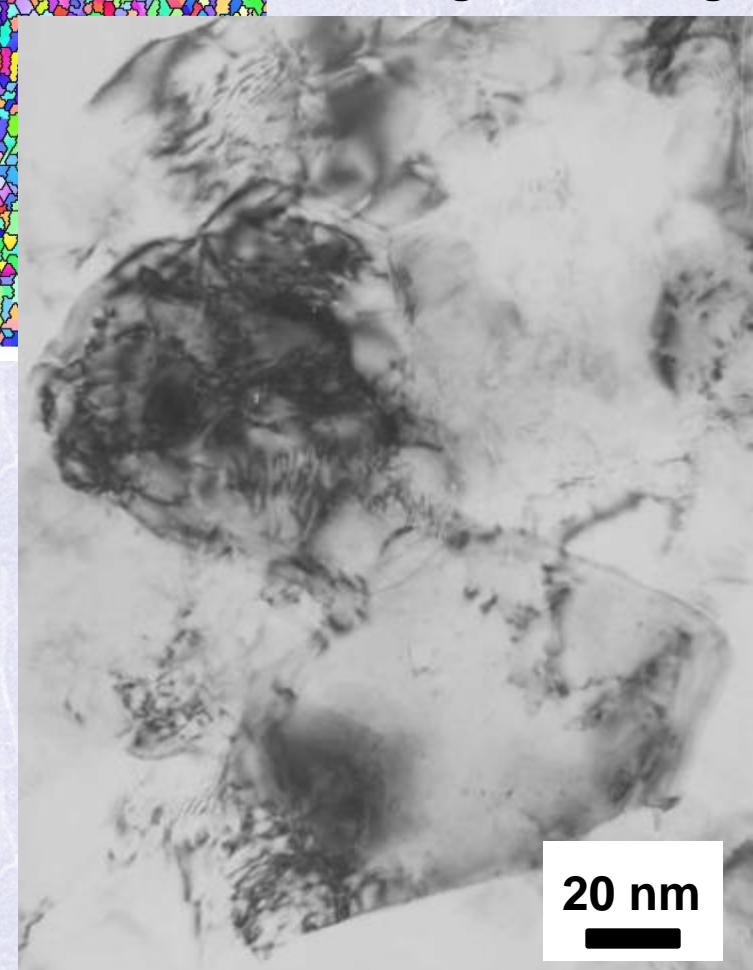
# Electron backscatter diffraction (EBSD)

## HPT deformed IF steel

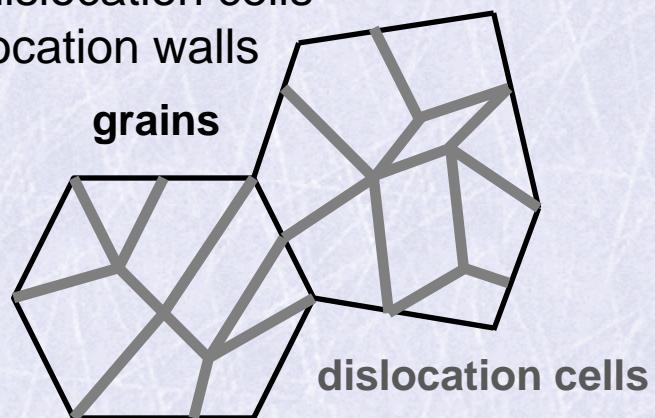
- half radius,  $N = 5$  ( $e = 54$ )
- mean grain size  $\approx 600 \text{ nm}$



TEM bright field image



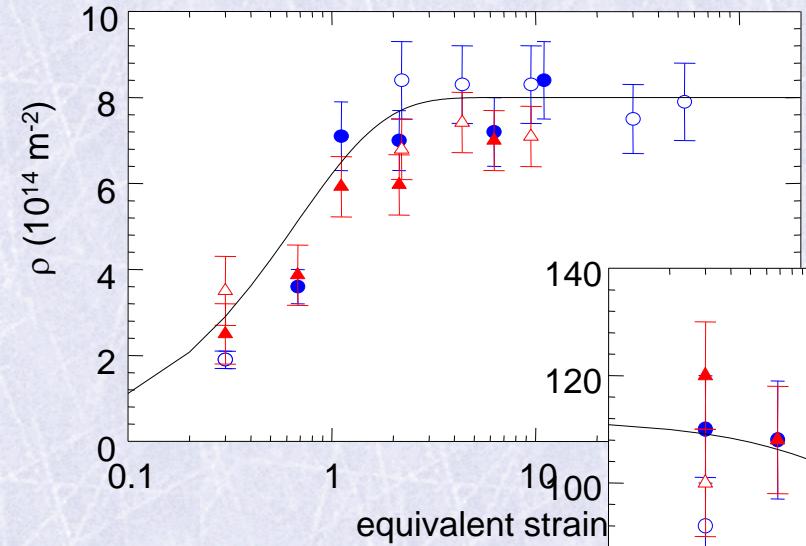
- grains consist of dislocation cells separated by dislocation walls



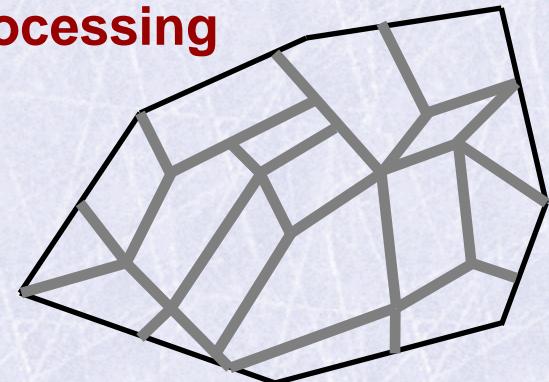
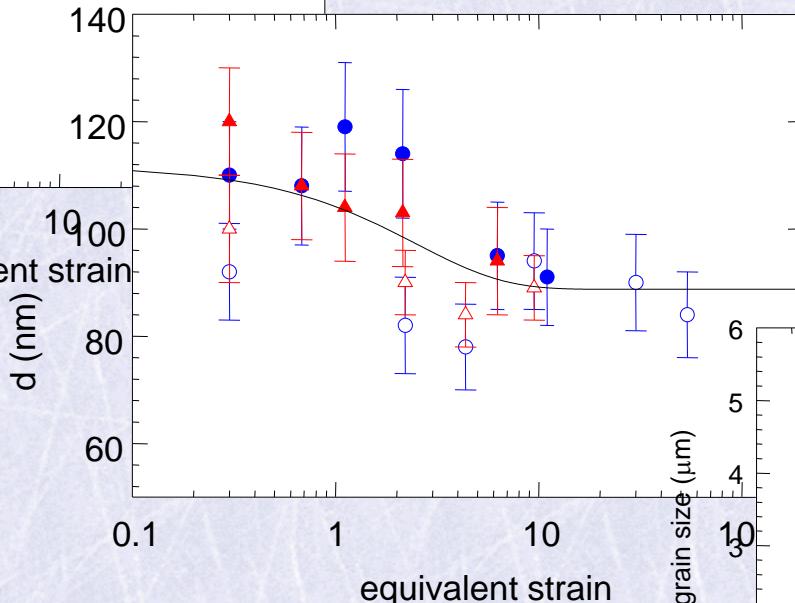
# Development of microstructure during HPT processing

## HPT deformed IF steel

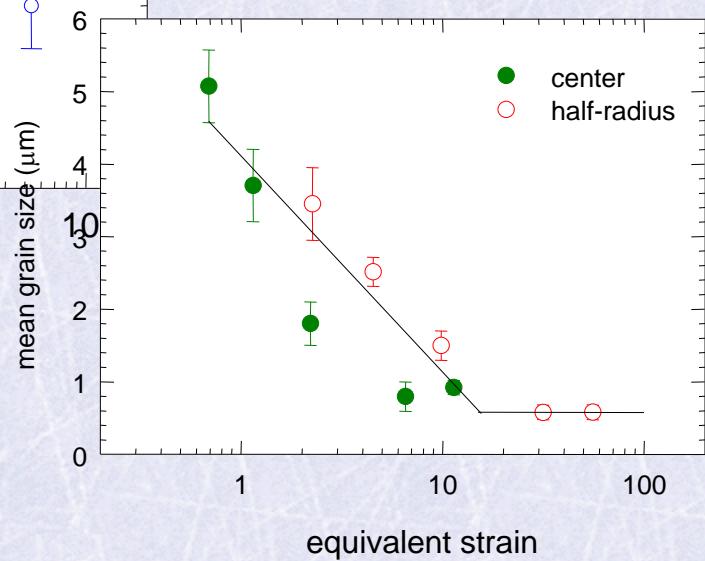
dislocation density saturated at  $e \approx 3$



cell size saturated at  $e \approx 8$



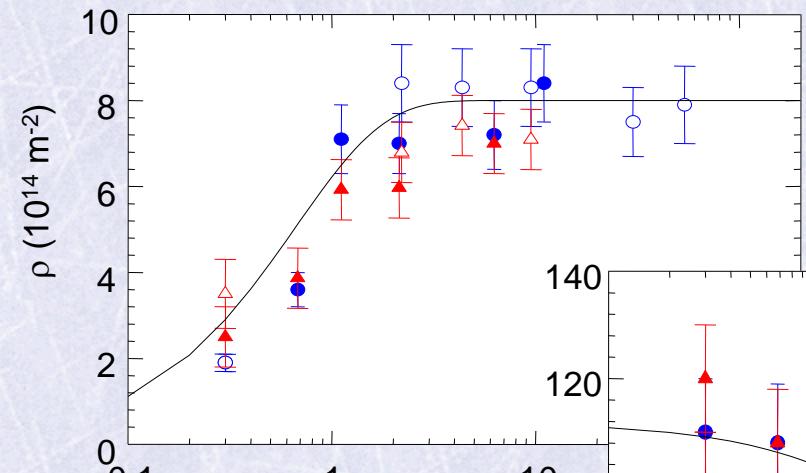
grain size saturated at  $e \approx 15$



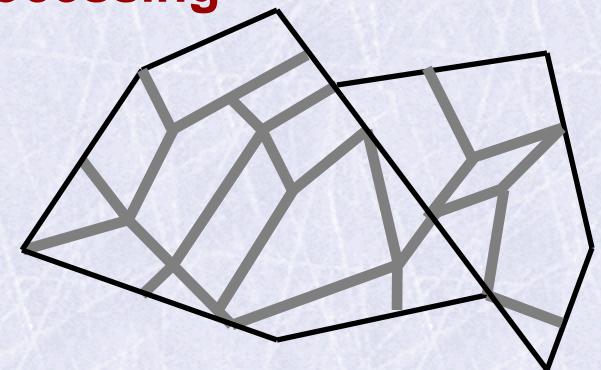
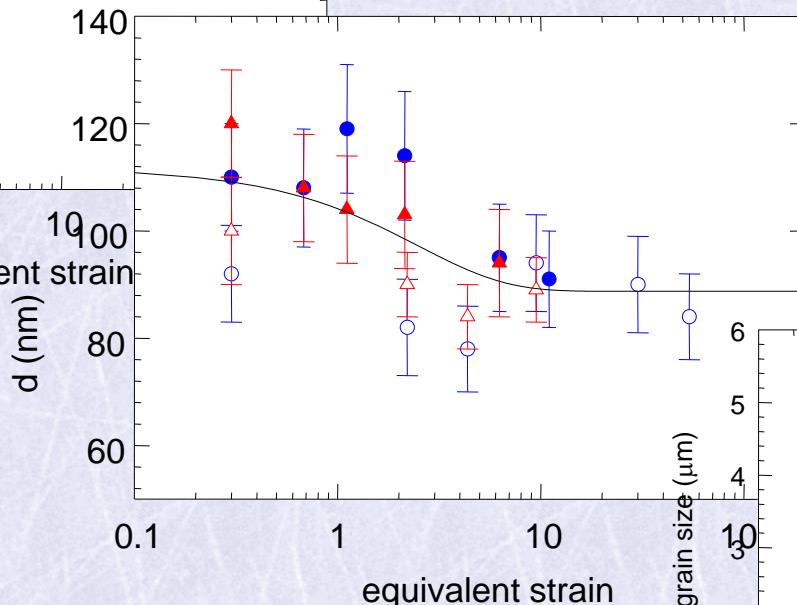
# Development of microstructure during HPT processing

## HPT deformed IF steel

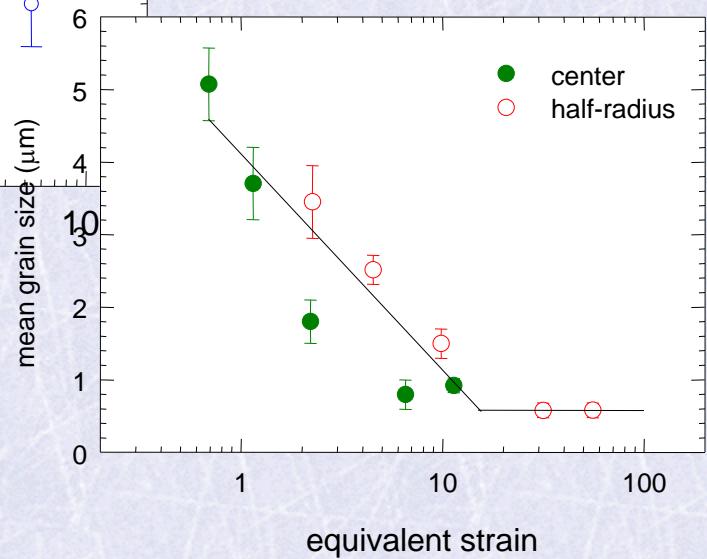
dislocation density saturated at  $e \approx 3$



cell size saturated at  $e \approx 8$



grain size saturated at  $e \approx 15$



## Conclusions

- Positron lifetime spectroscopy enables to determine
  - size of dislocation cells
  - mean dislocation density
  - edge or screw character of dislocations
- PAS results are consistent with results of X-ray line profile analysis
- use of PAS combined with XRD is beneficial for characterization of UFG materials
- PAS is more sensitive to dislocations than XRD
- XRD enables to determine  $\rho$  in materials containing very high number of dislocations
- PAS provides information not only about dislocations but also about open volume point defects (vacancies, vacancy clusters ...)